## **National Endowment for the Arts**

Notice of Funding Opportunity: FY26 Research Labs

## **Program Guidelines**

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## Access for individuals with disabilities:

Contact the Office of Accessibility at 202-682-5532 / <u>accessibility@arts.gov</u> or the Office of Civil Rights at <u>civilrights@arts.gov</u> to request an accommodation or an alternate format of the guidelines.

## Research Labs

### **Basic Information**

Federal Agency Name	National Endowment for the Arts
<b>Funding Opportunity Title</b>	Research Labs
Announcement Type	Modification of previous announcement
Funding Opportunity Number(s)	2025NEA01ORALABS
Assistance Listing Number(s)	No. 45.024
Agency contact information	NEAResearchGrants@arts.gov

Details in the chart below are estimates. Actual figures may vary.

Funding Details	Amount (Contingent upon availability of funds)
Total amount of funding expected to award	\$1,200,000
Anticipated number of applications	20
Anticipated number of awards	4 awards
Expected dollar value of awards (range)	\$100,000-\$300,000

## **Executive Summary**

The NEA Research Labs program funds projects that support transdisciplinary research teams to build public knowledge about the arts and their contributions to individuals, communities, and society at large. Each Lab will conduct multiple research studies and develop a suite of products or services.

Eligible applicants include: U.S. Institutions of higher education or nonprofit, tax-exempt 501(c)(3), U.S. organizations with a three-year history of operations that includes commissioning and conducting research in the behavioral or social sciences. Funding in this category is not available for individuals, fiscally sponsored projects, commercial/for-profit enterprises, State Arts Agencies (SAA), or Regional Arts Organizations (RAO), among others. See Eligibility for full eligibility requirements.

#### COMPONENTS OF THIS NOTICE OF FUNDING OPPORTUNITY (NOFO):

• **RESEARCH LABS PROGRAM GUIDELINES (this document)**: Essential information about the program, including a program description, eligibility, review criteria, award amount and cost sharing, and post-award requirements and administration, among others.

 APPLICATION INSTRUCTIONS DOCUMENT: Navigate to the "Application Instructions" section on the <u>Research Awards webpage</u> for complete information on application requirements and how to apply.

#### **KEY DATES:**

Applying for and managing a federal award is a significant undertaking and the process is competitive. We estimate that after registrations, which can take several weeks to finalize, the process to draft and submit an application will take approximately 26 hours.

STAGE	DATE
Program Guidelines and Application Instructions Published	February 2025
Part 1: Application Package Available on Grants.gov	February 2025
Part 1: Grants.gov	March 24, 2025
Submission Deadline	11:59 pm Eastern Time
Part 2: NEA Applicant Portal Opens to Applicants	March 27, 2025 9:00 am Eastern Time
Part 2: NEA Applicant Portal Submission Deadline	April 3, 2025 11:59 pm Eastern Time
Notification of recommended funding or rejection	December 2025
Earliest project start date	January 1, 2026

## **Research Labs Program Description**

The NEA Research Labs program is a funding opportunity under the NEA's Research Awards portfolio. The program funds projects (referred to herein as NEA Research Labs, or Labs) that support transdisciplinary research teams to build public knowledge about the arts and their contributions to individuals, communities, and society at large. Recipients will develop a multi-year research agenda, conduct multiple research studies, and develop a suite of products or services (e.g., a website, research papers, workshops, or evidence-based tools) of value not only to arts researchers, but also to practitioners in the arts and other sectors such as healthcare, education, and business or management. NEA Research Lab awards may have a period of performance of between 24 and 30 months, with the possibility of one subsequent renewal award, subject to agency priorities, the availability of funds, recipient performance, and the agency's regular review process. As part of the multi-year research agenda, applicants must propose a plan for sustaining the research after the conclusion of the NEA supported activities.

The NEA welcomes proposals that align with the following priority research area and one or more detailed questions within it, as suggested by the agency's FY 2022-2026 research agenda.

The priority research area is:

• What are measurable impacts of the arts on the following outcome areas: health and wellness for individuals; cognition and learning; and U.S. economic growth and/or civic or business innovation? Under what conditions do such impacts occur, through what mechanisms, and for which populations and/or sectors?

Institutions of higher education and/or nonprofit organizations with a history of conducting or commissioning research may submit applications to be NEA Research Labs. See the <u>Eligibility</u> section for more information.

We encourage projects that originate from or are in collaboration with the following:

- Historically Black Colleges and Universities,
- Tribal Colleges and Universities,
- American Indian and Alaska Native tribes,
- Hispanic Serving Institutions,
- Asian American and Pacific Islander communities, and
- Organizations that support the independence and lifelong inclusion of people with disabilities.

The selection process for Research Labs is rigorous and highly competitive. It is expected that the lead applicant organization selected to receive an award will complete the research project. We will not transfer the award to another organization, even if the principal investigator moves to another organization.

In recent years, the application success rate has ranged from 13% to 22%. Prior to applying, applicants should consider whether their project is a good fit for the program.

The NEA requires applicants to comply with all applicable laws and regulations governing the Responsible Conduct of Research in the United States.

The NEA may enter into up to one subsequent renewal award with the recipient of a NEA Research Labs grant for a project consistent with these guidelines. Any such future renewal awards, however, would be subject to agency priorities, the availability of funds, recipient performance, and the agency's regular review process.

Applicants that seek funding solely for discrete research studies and the promotion of their results should instead apply to the Research Grants in the Arts [link] program.

## **Projects and Research Methods**

We welcome applications from a wide range of research fields (e.g., economics; psychology; education; sociology; medicine, health, and therapy; communications; business administration; urban and regional planning). We expect the funded projects will be varied in terms of geographical distribution, the artistic and research fields or disciplines involved, and the research topics proposed. We also expect the projects to reflect an array of study designs.

In recent years, the NEA has supported studies that hypothesize a cause-effect relationship between the arts and key outcomes of interest (e.g., in health, education, or the economy). For research studies or program evaluations seeking to explore causal claims about the arts, experimental approaches (e.g., randomized controlled trials) are generally preferred. In other cases, different study designs will be preferable. These designs may include, but are not limited to, meta-analyses, quasi-experimental studies, complex surveys, case studies, and studies using mixed methods. In particular, we encourage community-based participatory research approaches, where warranted by the research objective.

The NEA research agenda states that through these awards, the agency will "incentivize the creation of practitioner tools grounded in research." In keeping with this aim, we especially welcome translational research that moves scientific evidence toward the **development**, **testing**, **and standardization of new arts-related programs**, **practices**, **models**, **or tools** that can be used easily by other practitioners and researchers. This function is critical to NEA Research Labs, which should be prepared to contribute products and services not only for the research community, but also for practitioners in the arts and other sectors.

## **Data Sources and Analysis**

Projects supported under this program *must* include data analysis activities that occur during the period of performance, and can include either primary and/or secondary/archival data sources. We do not fund projects that focus *exclusively* on data acquisition.

*Primary* data sources refer to research data or information that **did not** exist prior to the project **and** that 1) will be actively collected by the applicant during the period of performance and 2) the costs of which are included in the project budget.

Secondary/archival data sources refer to research data or information that was or will be actively collected outside of the period of performance and the costs related to the primary data collection are not included in the project budget. Examples of this might include an existing dataset or archival information that applicants plan to analyze under an NEA award.

Data analysis may include quantitative, qualitative, and/or mixed-method approaches. Data sources may include, but are not limited to, surveys, censuses, biological or medical experiments, observations, interviews, focus groups, social media activity, administrative data, and transactional/financial data. Other examples of data sources include archived materials such as written documents, audio/video recordings, or photographs and images.

We welcome the use of data in both the public and private domain, including commercial and/or administrative data sources. <u>Visit the NEA website</u> for a list of publicly available datasets that include arts-related variables. Some of these datasets are also available through the NEA's public data repository: the <u>National Archive of Data on Arts & Culture</u> (NADAC).

See <u>Responsible Conduct of Research</u> for requirements related to primary data collected from human subjects.

#### We do not fund

- Projects that focus exclusively on data acquisition.
- Projects that do not include data analysis conducted during the period of performance.
- Projects that focus exclusively on conducting a literature review.
- Project activities that include the creation and/or installation of public art as part of the
  proposed project activities and budget. *Public art* refers to the commissioning and
  installation of artwork in public spaces, such as temporary or permanent outdoor
  furnishings (e.g., benches or market structures), or other artwork such as a sculpture or
  mural that is temporarily or permanently installed in public spaces. These types of
  projects are funded through our other grant programs, including <u>Grants for Arts Projects</u>,
  <u>Challenge America</u>, and <u>Our Town</u>.
- Seasonal or general operating support.
- Costs of physical construction or renovation, or the purchase costs of facilities or land.

See the <u>General Terms and Conditions for Grants and Cooperative Agreements to Organizations</u> for more information on unallowable costs and activities.

### Required Partnership with Arts Organization, Artist, or Culture Bearer

We aim to make arts-relevant research a shared endeavor (with shared benefits) for academic researchers, arts practitioners, stakeholders, and the greater public. Accordingly, the NEA Research Labs program requires a **confirmed** partnership at the time of application between the lead applicant organization and at least one "arts partner," defined as either (a) an arts organization *or* (b) an individual artist or culture bearer. The arts partner is expected to contribute substantially to the NEA Research Lab. Applications that do not include an arts partner are ineligible for Research Labs awards.

We encourage projects that collaborate with the following constituencies:

- Historically Black Colleges and Universities,
- Tribal Colleges and Universities,
- American Indian and Alaska Native tribes,
- Hispanic Serving Institutions,
- Asian American and Pacific Islander communities, and
- Organizations that support the independence of people with disabilities.

In addition to the arts partner, applicants are also strongly encouraged to partner with other organizations with expertise in the proposed research topic area. We welcome organizations from sectors such as health, business, and science, and educational entities such as school districts or individual schools.

## **NEA Research Lab Project Activities**

Through Research awards, we intend to achieve the following objective in the NEA FY2022-2026 Strategic Plan: *Produce research, statistics, and general information about the arts for the benefit of the arts sector and beyond*. To achieve this goal, Research Labs recipients will be required to complete several project activities as outlined below.

Each NEA Research Lab will design and execute the following:

#### Research Lab Agenda, Keystone Study, and Related Activities

- Choose one of the following research focus areas in which to develop and refine a multiyear Research Lab agenda.
  - Measuring the Impacts of the Arts:
    - On U.S. Economic Growth, and/or Civic or Business Innovation
    - On Cognition and Learning
    - On Health and Wellness for Individuals
- Create a team representing at least two distinct research disciplines to engage in all aspects of the NEA Research Lab. We encourage teams that include personnel at various stages in their careers (e.g., tenured professors, mid-career professionals, early-career

- professionals, graduate students, and postdocs). In addition, recipients are strongly encouraged to include at least one early-career investigator as a team member, and to include training and mentorship activities as part of the Lab's research agenda.
- As part of the multi-year Research Lab agenda and within the initial award's period of performance, conduct at least one keystone study that addresses at least two key research questions under the topic area selected. Additional studies may also be proposed and completed as part of the initial award's period of performance.
- As part of the application, applicants must propose a plan for sustaining the multi-year
  Research Lab agenda beyond the initial award's period of performance. This may include
  potential plans to continue Research Lab activities, studies, and/or training and
  mentorship for project personnel, as well as new activities, studies, or training, in the
  same or similar research topic area. Changes or updates to this plan require NEA approval
  in advance.

#### Required Products and Services

- Develop, host, and regularly update a public website specifically about the NEA Research Lab. At a minimum, this website should include a description of the NEA Research Lab and accompanying research agenda and studies and periodic updates on the progress of fulfilling the research agenda and related studies. The website should also carry information about key personnel and technical working group members (see Administration section below) and about research products, events, and resources associated with the NEA Research Lab agenda—including working products and in-press products, as well as conference presentations. Additional content (e.g., literature reviews, research or policy briefs, white papers, blog posts, podcasts, webinars, or technical guides) will be based on a proposed dissemination plan and will draw upon guidance to be developed through an NEA technical assistance provider (see Administration section below). The NEA Research Labs visual identifier should be included in a prominent area of the website, the NEA Research Lab funding source should be clearly acknowledged, and appropriate disclaimers must be included.
- <u>Produce at least one research report</u> that documents the methods and findings of each research study.
- **Prepare and deliver at least two presentations per year**, one to a community of researchers and the other to a community of arts practitioners.
- Applicants are encouraged, but not required, to generate research products (e.g., datasets, questionnaires, web apps or other technologies) that can be accessed by practitioners or researchers who might be interested in developing a program, model, or tool similar to that used by the Lab.

#### Administration

• Establish and support a technical working group. It will include representatives from partner organizations, and/or experts in distinct fields of practice or research that are needed to inform the work of the NEA Research Lab. The technical working group

members will offer feedback to the NEA Research Lab on key project activities and deliverables.

- Recipients are strongly encouraged to participate in **technical assistance activities**, as provided by the NEA, relevant to the NEA Research Labs program.
  - A NEA Research Lab Technical Assistance provider will be available to help Labs develop and implement a plan for communications and stakeholder engagement around the NEA Research Lab's activities.
  - o Participate in an NEA Research Labs needs assessment process.
  - Participate in professional development, mentorship, and communication trainings, webinars, and web conferences.
- Participate in transdisciplinary NEA Research Labs meetings every six months that include multiple NEA Research Labs supported under the NEA Research Labs program. The meetings are expected to be audio-video conferences, with one anticipated in-person or hybrid format meeting every 2-4 years. Costs for travel and subsistence for transdisciplinary NEA Research Labs meetings that occur in person are to be included in the project budget for the NEA Research Lab award; Applicants should plan and budget for up to three personnel to attend a one-time in person meeting in Washington, DC. The NEA Research Labs technical assistance provider will organize and schedule these meetings. If an in-person meeting is not held, and/or travel is not required (e.g., if the event is virtual), those costs may be redirected to other allowable project activities.

#### **Period of Performance**

Our support of a project may start on or after January 1, 2026. The period of performance may be a minimum of 24 months and a maximum of 30 months. NEA Research Labs recipients will be required to submit quarterly progress reports to the NEA throughout the life of the award.

Projects that extend beyond one year may be required to submit an additional annual progress report, and must include proof of updated ethics training on human subjects research protections and Institutional Review Board (IRB) materials as necessary. See Responsible Conduct of Research for requirements related to data collected from human subjects.

A recipient may not receive more than one NEA award for the same activities during the same period of performance.

## **Subsequent Awards**

The NEA may enter into one subsequent renewal award with the recipient of an NEA Research Labs grant for a project consistent with the intent of these guidelines. Any such future award, however, would be subject to agency priorities, the availability of funds, recipient performance, and the agency's regular review process.

The exact level of the NEA award amount for the renewals will be determined by the NEA in consultation with the selected Recipient at a later stage; however, it is anticipated that renewal award amounts will be approximately \$100,000-\$150,000, with a required 1:1 cost share/match.

## **Eligibility**

For projects that involve multiple organizations, one organization that meets the eligibility requirements below must act as the lead applicant, submit the application, and assume full responsibility for the award. Partnering organizations and/or partnering individuals are not required to meet the eligibility requirements below.

The lead applicant must be an organization with research and policy as a principal focus for its core components, either as the primary work or as part of a transdisciplinary mission.

#### **ELIGIBLE**

The lead applicant organization must be a:

- U.S. institution of higher education, or
- Nonprofit, tax-exempt 501(c)(3), U.S. organization

To be eligible as the lead applicant, the organization **must**:

- Meet the NEA's <u>Legal Requirements</u>, including nonprofit, tax-exempt status at the time of application.
- Have a five-year history of operations at the time of application that includes commissioning and conducting research in the behavioral or social sciences, as well as communicating research findings and policy implications through reports and/or convenings.
- Have an active registration with the <u>System for Award Management</u> (SAM), and have a Unique Entity Identifier (UEI), at the time of application. Applicants must maintain an active SAM registration until the application process is complete and throughout the life of an award.

#### **NOT ELIGIBLE**

The following are **not** eligible to apply as the lead applicant organization:

- Individuals;
- Commercial and for-profit enterprises;
- Applications through a fiscal sponsor/agent. All organizations must apply directly on their own behalf;
- An organization whose primary purpose is to channel resources (financial, human, or other) to an affiliated organization may not apply if the affiliated organization also submits its own application. This prohibition applies even if each organization has its own 501(c)(3) status. For example, the "Friends of ABC Museum" may not apply if ABC Museum applies.
- The designated 50 state and six jurisdictional arts agencies (SAAs) and their regional arts organizations (RAOs). SAAs and RAOs may serve as partners in projects. However, they may not receive NEA funds through this grant program or contribute sub-awarded federal funds to the cost share/match.

Late, ineligible, and incomplete applications will not be reviewed.

Applications will not be transferred between NEA opportunities. Applications to Research Labs will not be transferred to Research Grants in the Arts, Grants for Arts Projects, or Our Town, or vice versa.

## Additional Eligibility Requirements for Previous Research Labs Recipients

Organizations who received an NEA Research Lab award between fiscal years FY 2017 – FY 2024 (application deadlines 2016 – 2023) are eligible to apply to the Research Labs grant category.

However, new applications from previous Research Labs recipient organizations:

- Must propose a period of performance start date *after* the period of performance end dates for all open/active Research Lab awards.
- Must propose a project that aligns with the FY26 funding opportunity guidelines.
- Forfeit the possibility of a renewal under a previous Lab award by submitting an application for the FY26 opportunity.

NOTE: Applicants can check their organization's Research Labs award history on the <u>NEA</u> Research Labs webpage.

## Fiscal Sponsorship

Fiscally sponsored organizations and projects are not eligible for NEA funding. An organization or individual **may not** use a fiscal sponsor/agent for the purpose of applying. The NEA does not fund unincorporated or for-profit entities or individuals that engage non-profit, tax-exempt 501(c)(3) U.S. organizations; units of state or local government; or federally recognized tribal communities or tribes to apply for grants on their behalf.

If your organization does not have its own non-profit status, you may still participate in a project submitted by another eligible organization, but you may not submit your own application.

#### What is a fiscal sponsor/agent?

A fiscal sponsor/agent is an entity that oversees the fiscal activities of another organization, company, or group of independent artists or projects. These activities may include bookkeeping, filing of W2s or 1099s, daily banking, or grant preparation.

An application must demonstrate the active involvement of the applicant organization in the proposed project activities. The NEA may review your website and other materials in addition to your application to determine the eligibility of the application.

## **Application Limits**

- An organization may submit only one application to the FY26 Research Labs funding opportunity.
- Applicants to the NEA Research Labs program may apply to other FY26 NEA funding opportunities (applications submitted in CY2025), including Research Grants in the Arts. Each proposal must be for a distinctly different project.
- An organization may receive up to one FY26 NEA Research Lab award and up to one FY26 Research Grants in the Arts award.

## **Cost Sharing/Matching**

Applications that do not demonstrate the minimum required cost share/match will be marked ineligible. See <u>Award Amounts and Cost Share Matching</u> below for more information related to cost share/matching requirements.

## **Award Amounts & Cost Sharing**

All applications submitted and grants made in response to these guidelines are subject to the NEA's grant regulations and <u>terms and conditions</u>.

#### **Award Amounts**

We anticipate awarding four (4) grants, based on the availability of funding.

Awards will range from \$100,000 to \$300,000.

We reserve the right to limit our support of a project to a particular phase(s) or cost(s).

## Cost Share and Matching Funds

NEA awards cannot exceed 50% of the total project costs. All awards require a nonfederal cost share/match of at least 1 to 1. These cost share/matching funds may be all cash or a combination of cash and in-kind contributions, and can include federally-negotiated indirect costs. You may include in your Project Budget cost share/matching funds that are proposed but not yet committed at the time of the application deadline.

Applicants whose projects are recommended for less than the requested funding amount will have the opportunity to revise the project budget and scope of the proposal to reflect any necessary changes to the project, based on the recommended funding amount.

All costs included in your Project Budget must be incurred during your period of performance. Costs associated with other federal funds, whether direct or indirect (e.g., flow down through a state arts agency), cannot be included in your Project Budget. No pre-award costs are allowable in the Project Budget. Costs incurred before the earliest project start date of January 1, 2026, cannot be included in your budget or cost share/match.

## **Application Contents & Format**

## **Application Instructions**

A detailed instructions document outlining how to complete and submit both parts of the application, including all application questions, can be found on the <u>Research Awards</u> page, under the <u>Application Instructions and Questions</u> section.

Before applying, applicants must finalize required registrations detailed on the next page. All three required registrations must be active to submit Part 1 of the application through Grants.gov.

#### Application Part 1, Grants.gov

Part 1 of the application is submitted through Grants.gov. All applicants must submit the "Application for Federal Domestic Assistance/Short Organization Form." This is a brief form that will collect very basic information about your organization.

A direct link to the Grants.gov Opportunity Package where you will complete this form is included on the Research Awards page, under "Submit Your Application" in the How to Apply section. You must successfully submit Part 1 to move on to Part 2.

#### Application Part 2, NEA Applicant Portal

Part 2 of the application is submitted via the NEA's <u>Applicant Portal</u>. This is a separate website from Grants.gov.

All applicants must complete the "Grant Application Form (GAF)" and upload items through the portal. Information is submitted via a web form, where you will enter the majority of your application material, including information about your organization's history and budget, project details including a project description, timeline, and budget information, and special attachments.

## Applications recommended for funding

Applicants whose projects are recommended for funding will be asked to submit additional information, which may include: a project update, a revised project budget, <u>an accessibility form</u>, and updates on human ethics training certificates and Institutional Review Board (IRB) reviews. See <u>Post-Award Requirements and Administration</u> for more information.

## Tips:

**View the Guidelines Webinar**: We will conduct a live webinar featuring an overview presentation and a Q&A session. A link to registration information and an archived recording will be available on the Applicant Resources page.

**View the Grant Application Form (GAF) Tutorial:** This tutorial provides an overview of the Grant Application Form (GAF) to assist you during Part 2 of the application process: <u>Online Tutorial:</u> Using the Grant Application Form.

## Application updates after submission:

Send new information that significantly affects your application (such as changes in project personnel, confirmed funding commitments, or IRB status) as soon as possible to NEA Research Awards staff. You must include your organization's name and NEA application number with any updates.

If you have questions about your application, contact the NEA Research Awards staff at <a href="MEAResearchGrants@arts.gov">NEAResearchGrants@arts.gov</a>.

## **Submission Requirements & Deadlines**

## **Pre-Application Required Registrations**

**Before applying,** all applicants must register with Login.gov, the System for Award Management (SAM) at SAM.gov, and Grants.gov. Applicants must provide a valid Unique Entity Identifier (UEI) in their application; and continue to maintain an active registration in SAM.gov with current information at all times during which it has an active Federal award or an application or plan under consideration by a federal agency. **All three required registrations must be active to submit Part 1 of the application through Grants.gov.** 

Returning applicants must renew or verify that their registrations are up to date prior to the application deadline. If your SAM registration is expired, you must renew it before submitting an application.

Registering and maintaining accounts with Login.gov, SAM, and Grants.gov is always FREE.

**A Registration Guidance document** is available on the <u>Research Awards</u> page, which provides detailed information about the registration process, including links to each registration site and support resources.

Registration can take several weeks. Give yourself plenty of time to get registered. We suggest allocating four weeks to complete registration.

### **Submission Methods**

Application materials must be submitted electronically. See <u>Application Instructions</u> above.

#### **Contact Information**

For assistance with application requirements, contact NEA staff: <a href="mailto:NEAResearchGrants@arts.gov">NEAResearchGrants@arts.gov</a>.

#### Login.gov, SAM, and Grants.gov Help

The NEA does not have access to your Login.gov, SAM, or Grants.gov accounts. If you have any questions about or need assistance with these sites, including questions regarding electronic accessibility, contact them directly:

- **Login.gov Help**: Call 1-844-875-6446, consult the information posted in their <u>Help Center</u>, or use their <u>online form</u> to submit a question.
- **SAM Federal Service Desk**: Call 1-866-606-8220 or see the information posted on the SAM website at <u>SAM Help</u>.
- **Grants.gov Contact Center**: Call 1-800-518-4726, email <a href="mailto:support@grants.gov">support@grants.gov</a>, or consult the information posted on the Grants.gov website at <a href="mailto:Support">Support</a>. The Grants.gov Contact Center is available 24 hours a day, 7 days a week.

#### **Submission Dates and Times**

STAGE	DATE
Program Guidelines and Application Instructions Published	February 2025
Part 1: Application Package Available on Grants.gov	February 2025
Part 1: Grants.gov	March 24, 2025
Submission Deadline	11:59 pm Eastern Time
Part 2: NEA Applicant Portal  Opens to Applicants	March 27, 2025 9:00 am Eastern Time
Part 2: NEA Applicant Portal	April 3, 2025
Submission Deadline	11:59 pm Eastern Time
Notification of recommended funding or rejection	December 2025
Earliest project start date	January 1, 2026

Please do not seek information on the status of your application before the announcement date listed above.

## **Exceptions to the Submission Deadlines**

Late, ineligible, and incomplete applications will not be reviewed.

Exceptions to the Research Labs deadline will be considered only for registration or renewal issues or technical malfunctions that are the result of failures on the part of Login.gov, SAM.gov, Grants.gov, or NEA systems, as determined by the NEA. To be considered for this exception, you must provide documentation of a Login.gov, SAM.gov, Grants.gov, or NEA systems failure that prevented your submission by the deadline.

In the event of an emergency (e.g., a hurricane or a Login.gov, SAM.gov, Grants.gov, or NEA systems technological failure), the NEA Chair may adjust application deadlines for affected applicants. If a deadline is extended for any reason, an announcement will be posted on our website.

Exceptions to the deadline will not be considered for reasons such as:

- User error, including but not limited to, failing to register or submit an application on time, or failure to verify that your application was successfully submitted to Grants.gov and/or the Applicant Portal.
- Problems with the applicant's computer systems or Internet access.

#### Please note:

- Permission for a late Part 1 application submission cannot be granted in advance. If you
  feel you have a case for an exception, contact staff within one week after the Part 1
  deadline with documentation of the issues you ran into.
- Applications submitted late or outside the Grants.gov system or NEA system (e.g., an emailed SF-424) will not be processed, reviewed, or considered for funding.

## **Intergovernmental Review**

This funding opportunity is not subject to Intergovernmental Review of Federal Programs Executive Order 12372.

## **Application Review**

#### **Review Criteria**

Applications will be reviewed on the basis of **artistic excellence** and **artistic merit**. For the Research Awards programs, artistic excellence and artistic merit can be considered as research excellence and research merit, respectively, as they relate to the bullets below.

The following are considered during the review of applications:

#### **Artistic Excellence**

- Clarity of the research agenda and project activities, including commitment to both short- and long-term project objectives. This includes the appropriateness of the project for the NEA Research Labs program, the coherence of the proposed project activities and their correspondence to the Lab's central theme or research agenda, and evidence that the research agenda is informed by extant literature, as appropriate. This also includes plans for sustaining the research agenda beyond the initial award's period of performance.
- Clarity of the proposed keystone research study to be completed during the initial award period of performance, including the conceptual framework, research design, and analytical methods to be used, and the relationship of these elements to the proposed research questions. This includes, if relevant, the keystone study's Institutional Review Board plans and/or status.
- Research qualifications of the key project personnel and organizations involved, including the proposed technical working group. This includes the degree to which the mission and/or experience of the proposed research and/or arts partners, or the nature of the interdisciplinary collaboration, advance the goals of the NEA Research Labs program and the proposed keystone study. As appropriate, this includes discussion of planned or actual ethics training on human subjects research protections for relevant personnel, as well as planned training or mentorship activities for early-career personnel.
- Ability to carry out the project on time and within budget, including the reasonableness of the budget.

#### **Artistic Merit**

• Plan to develop a website and evidence-based products and/or services that will engage the scientific and artistic communities and the greater public with the Lab's work throughout the period of performance. This includes plans to produce and release reports, articles, tools, programs or services, convenings, or data for the benefit of arts and non-arts sectors alike. This also includes creative communication and distribution strategies to make the research findings, products, and data accessible to the public and to other researchers and practitioners.

- Potential of the project activities to yield empirical insights about the arts, for the benefit of arts and non-arts sectors alike, in one of the following ways:
  - Likely to allow more than one field, sector, or population subgroup to benefit from arts-related research.
    - Likely to spur innovation in arts-related research, policy, or practice—e.g., by cultivating new and promising research questions and/or approaches, or by developing, testing, or refining models, tools, or evidence-based guides.
  - Likely to yield results that are generalizable, even for discrete populations or practitioner groups.
  - Where appropriate, likely to yield results benefiting historically underserved groups/communities, including those for whom there are limited opportunities to experience the arts and arts-related benefits.
- Potential for the Lab's research agenda to continue meaningfully after the conclusion of NEA supported activities.
- Potential for raw- and/or meta-data to be shared with other researchers and the public, as applicable.

#### **Review & Selection Process**

Applications are checked for completeness and eligibility by NEA staff. Eligible applications are reviewed according to the review criteria above in closed session by interdisciplinary research and evaluation advisory panelists. Each panel comprises a range of arts-research experts and other individuals, including at least one knowledgeable layperson. Panels are convened remotely. Panel membership changes regularly. The panel recommends the projects to be supported, and the staff reconciles panel recommendations with the funds that are available. These recommendations are forwarded to the National Council on the Arts, where they are voted on in an open, public session.

The National Council on the Arts makes recommendations to the NEA Chair.

The NEA Chair reviews the recommendations for grants in all funding categories and makes the final decision on all grant awards. Applicants are then notified of funding decisions. It is anticipated that the NEA will notify applicants of award or rejection in December 2025. After notification, applicants with questions may contact NEA staff at NEAResearchGrants@arts.gov.

#### **Risk Review**

All recommended applications undergo a review to evaluate risk posed by the applicant organization prior to making a federal award. The review may include past performance on federal awards, meeting reporting deadlines, compliance with terms and conditions, audit findings, etc.

## Other Requirements and Priorities

## **Responsible Conduct of Research**

The NEA is committed to the responsible conduct of research for projects supported under the Research Awards programs. As such, the NEA requires applicants under this program to comply with all applicable laws and regulations governing the conduct of research in the United States. We require applicants to obtain permissions (including but not limited to the acquisition of existing data) from all appropriate entities or individuals (including but not limited to minors or other sensitive populations) for conducting the proposed project and to include evidence of such permissions in the application material. These may include, but are not limited to, approval from Institutional Review Boards (IRBs), and/or data licensing for the acquisition of existing data, as may be required.

Data collection activities conducted under an award are the sole responsibility of the recipient organization, and the NEA's support of the project does not constitute approval of those data collection procedures. Therefore, data collected from respondents/participants will be conducted by the recipient or at the recipient's direction. Any researchers collecting data for a project supported with NEA funds—or by the cost share/matching funds for the project—may not represent to those subjects that such data are being collected on the NEA's behalf.

Recipients may, however, use the NEA logo to aid in data collection if data collection is included in the project budget. In such cases, use of the logo must include the grant number, and the recipient should not state or imply that such data are being collected at the direction of or on behalf of the NEA.

Applicants who propose *primary data collection* as part of their projects are required to describe plans and/or status of ethics training on human subjects research protections, including such aspects as working with minors and other sensitive populations, as well as the role of IRBs. Evidence of such plans and/or status can take the form of an active, unexpired certificate of completion of a training module. The NEA does not specify or endorse any specific educational programs. Certificates of completion of the training are not required at the time of application. If you are recommended for an award, you will be expected to submit active, unexpired certificates of completion of a training module for each key personnel involved with primary data collection or analysis of Personally Identifiable Information (PII) from human subjects. The NEA will not reimburse costs for ethics training under this program. Award recipients are required to submit updated ethics training documentation as relevant. The NEA may withhold funds until ethics training is demonstrated.

In addition, applicants who include *primary data collection* as a proposed project activity are required to provide an explanation for whether IRB approval is needed to execute the project. If IRB approval is required, then applicants also must indicate the measures they have taken or plan on taking to gain IRB approval. If multiple organizations are directly involved in human subjects research for a proposed project, then the applicant must provide documentation from each of those organizations as well. Recipients are required to submit IRB approval

documentation to the NEA prior to engaging in any activity determined to require IRB approval, as well as submit updated IRB documentation as relevant.

## IMPORTANT: For this program, the NEA may withhold award funds until IRB approval is submitted to us.

Applicant organizations can use their own IRB if they have one. Applicant organizations who do not already have an IRB should work with a partner and/or consult with another institution or organization that does have an IRB to determine whether IRB approval is necessary for the project. Costs of submitting research proposals to IRBs are allowable only if this activity takes place during the award period of performance; however, the application proposal must include evidence that the applicant has consulted with their preferred IRB or IRBs.

The <u>U.S. Department of Health and Human Services</u> provides additional guidance and resources for learning about the responsible conduct of research, including <u>decision charts for assessing</u> <u>whether a project needs an IRB/research ethics review</u>, a set of <u>free training modules</u> (which can be used to provide evidence of ethics training for an NEA research application), and a <u>database of registered IRBs</u>; the <u>National Science Foundation</u> also has resources related to IRB and human subjects research protections.

### **Data Management and Sharing**

We intend for the Research Labs program to generate new findings that will inform the public—including, as relevant, researchers and/or practitioners in the arts or other domains—about the value and/or impact of the arts in American life. We require applicants to submit a *data* management plan, documenting how any raw data and meta-data resulting from the proposed project will be used and maintained during and beyond the life of the award. Applicants should discuss confidentiality, security, intellectual property, or other relevant rights or requirements (to include but not be limited to securing and handling / deidentification of Protected Health Information (PHI) and Personally Identifiable Information (PII)). This may include referencing the <a href="HIPAA Privacy Rule">HIPAA Privacy Rule</a>, IRB status, and permissions and/or protections of minors and other sensitive populations, as appropriate.

Costs of storing and/or sharing data are only allowable if these data management activities take place during the period of performance.

### **Award Notices**

The Notification date for your category on the <u>Application Calendar</u> tells you when we expect to announce grant decisions.

Notifications are sent via email. Applicants who are recommended for funding will receive a preliminary congratulatory message with a request for project and budget updates. Applicants who are not recommended for funding will receive a rejection notice via email.

The official award notification (i.e., a notice of action authorized by the NEA Office of Grants Management) is the only legal and valid confirmation of award. Receipt of your official award notification can take several months depending on a number of factors, such as reviewing changes to the project budget, the number of awards to be processed, whether the agency has its appropriation from Congress, etc. All NEA awards are contingent on active SAM registration, the NEA will not be able to issue an award if you have an expired SAM registration on September 1 of the fiscal year listed on this funding opportunity.

### Final Reporting on Previous Awards

Before the NEA issues any award, organizations must have submitted acceptable Final Report packages by the due date(s) for all previous NEA award(s).

## **Post-Award Requirements & Administration**

#### **General Terms & Conditions**

Federal government-wide and agency-specific requirements that relate to NEA awards are highlighted in our <u>General Terms & Conditions</u> (GTCs). The GTCs incorporate the adoption of 2 CFR Part 200 by reference. The document also explicitly identifies where the NEA has selected options offered in the regulation, such as budget waivers and requirements for use of program income. It also includes requirements for cost share funds, reporting requirements, amendment processes, and termination actions. **Recipients must review, understand, and comply with these requirements.** Failure to comply with the GTCs for an award may result in termination of an award, and/or returning funds to the NEA, among other consequences.

## Implementation of Title 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

The guidance under 2 CFR Part 200 from the federal government's Office of Management and Budget (OMB) establishes clarity and consistency of the pre- and post-award requirements applicable to federal award recipients. The NEA has adopted the OMB Guidance in <u>2 CFR part 200 under §3255.1 Adoption of 2 CFR Part 200</u>. The NEA's adoption of 2 CFR Part 200 gives regulatory effect to the OMB guidance, including any updates to it.

#### **Crediting Requirement**

Recipients must clearly acknowledge NEA support of the grant project in their programs and related promotional material, including publications and websites. Additional acknowledgment requirements may be provided later. The NEA does not fund general operating support, so you must ensure that the NEA is only credited supporting the grant project and not your entire organization or its operations.

In *publications and presentations* of the data and the findings, acknowledgment of the NEA must be prominently displayed, including the Research Labs award number; appropriate disclaimers are also required, depending on the nature of the product.

Sample crediting language: This project was supported by an award from the NEA Research Labs program at the National Endowment for the Arts: Award# xxxxxxx-38-xx.

Sample disclaimer language: The opinions expressed in this material are those of the author(s) and do not represent the views of the National Endowment for the Arts. The National Endowment for the Arts does not guarantee the accuracy or completeness of the information included in this material and is not responsible for any consequence of its use.

In all other places (including but not limited to presentations concerning the project and material created for social media), the recipient must clearly acknowledge support from the

NEA, regardless of the medium of the material, except as noted in the section titled "Responsible Conduct of Research."

The NEA Research Labs program has an additional requirement: to the extent possible, include the NEA Research Labs visual identifier in all materials.

## **Changes in Projects**

Pre-Award: Applicants must notify the NEA of any significant changes in their project that occur after applying. If the project, the applicant's eligibility, or the organization's capacity to carry out the project changes significantly before an award is made, the NEA may revise or withdraw the funding recommendation.

Post-Award: Recipients are expected to carry out a project consistent with the proposal that was approved for funding by the NEA. If changes to the project are required, the recipient must request approval from the Office of Grants Management, which is the only office authorized to amend or change an NEA award. Written and/or verbal approval of proposed project changes from any other NEA office does not constitute an approved change to an award. Detailed information is included in the NEA's General Terms & Conditions for Grants to Organizations.

## **Project Reporting and Evaluation**

Before applying, review the reporting requirements for the NEA's <u>Final Reports</u>. If you have any questions about the agency's objectives or the required final reports, contact NEA staff.

NEA Research Labs recipients will be required to submit quarterly progress reports to the NEA throughout the life of the award. Projects that extend beyond one year may be required to submit an additional annual progress report, and must include proof of updated ethics training on human subjects research protections and Institutional Review Board (IRB) materials as necessary. Failure to submit progress reports will delay the release of award funds.

All recipients are required at a minimum to submit a Final Descriptive Report (FDR), a Federal Financial Report (FFR), a Geographic Location of Project Activity Report (GEO), and two (2) Research Products within 120 days of the end of their period of performance.

Through Research awards, we intend to achieve the following objective in the <u>NEA FY2022-2026 Strategic Plan</u>: Produce research, statistics, and general information about the arts for the benefit of the arts sector and beyond. If an award is received, the recipient will be asked to provide evidence of those results.

You are required to maintain project documentation, including financial records, for three (3) years following submission of your final reports.

## **Product Requirement for Research Labs:**

Within 120 days of the end of the period of performance, recipients will be required to submit, at minimum, two Research Products:

- 1) A NEA Research Lab website, and
- 2) One **20-50-page research report** that, is of publishable quality and, at a minimum, includes two separate components: an abstract/executive summary and a full research paper for each award:
  - The abstract/executive summary of the project should consist of a short summary of the Research Lab's goals and agenda, research studies, methods, findings, conclusions, and implications for research, policy, and/or practice. Abstracts/executive summaries must be targeted toward both technical and non-technical audiences.
  - Full research papers typically are comprehensive accounts of the project. The exact format and organization of the full research papers may vary depending on the project scope and distribution plans. Examples of previous recipients' final research products include, but are not limited to, academic research articles, white or grey papers, and books, handbooks, or book chapters.

Recipients are encouraged, but not required, to share additional research products (e.g., datasets, questionnaires, web apps or other technologies) that can be accessed by practitioners or researchers who might be interested in developing a similar program, model, or tool to that used by the Lab.

It is our intention to publish recipients' research papers on our website. We also understand that some of our recipients may desire to publish their work in other venues such as: peer-review research journals, books, or other types of publications. With this possibility in mind, we intend to post final research papers as "working papers." If the papers are published or become published, then we will replace any working papers with the published versions. However, you may request a one-year embargo (a restriction) on electronic access to your final research papers through the <a href="NEA Research Labs">NEA Research Labs</a> page. Should we agree to restrict access to your final paper, then your name, the title of your work, a description of your research, and the abstract will be available via our website, but the full text version will not be available for viewing or download until the embargo period has passed.

Please contact the NEA if you are contacted by the press or if you proactively engage the press about your awarded research through such outlets as: commercial newspapers (and their websites), radio, and TV; public broadcasting stations; community and alternative newspapers and newsletters; college and high school papers and stations; noncommercial and community access stations; listservs; news websites; membership websites (if relevant); and blogs. You may notify us of your media engagement and publications at NEAResearchGrants@arts.gov.

## Ownership and Use of Materials

Any materials produced under from a Research Labs award, including but not limited to academic research articles, white/grey papers, books/handbooks/book chapters, training materials, research, and data, (the "Material"), are deemed to be owned by the recipient. The recipient must use the Material in a manner consistent with the award terms and conditions

including but not limited to crediting requirements. The NEA reserves a royalty-free, nonexclusive and irrevocable right to obtain, reproduce, publish, or otherwise use the Material for Federal purposes, and to authorize others to do so. (2 CFR 200.315).

## Legal Requirements and Assurance of Compliance

The <u>Legal Requirements</u> section on our website provides information about key legal requirements that may apply to an applicant or grantee. It is not an exhaustive list, more details may be found in Appendix A of the <u>General Terms & Conditions</u>.

By signing and submitting the application form on Grants.gov, the Applicant certifies that it is in compliance with the statutes outlined in the <u>Assurance of Compliance</u> and all related National Endowment for the Arts regulations as well as all applicable executive orders, and that it will maintain records and submit the reports that are necessary to determine its compliance.

It is ultimately your responsibility to ensure that you are compliant with all legal, regulatory, and policy requirements applicable to your award.

### **Accessibility**

As outlined in the <u>Assurance of Compliance</u>, Section 504 of the Rehabilitation Act of 1973, and the NEA's implementing regulation, all NEA-funded projects are required to be accessible to people with disabilities. Individuals with disabilities may be artists, performers, audiences, visitors, teaching artists, students, staff, and volunteers. Funded activities must be held in a physically-accessible venue, and program access and effective communication must be provided for participants and audience members with disabilities.

If your project is recommended for funding, you will be asked to provide detailed information describing how you will make your project physically and programmatically accessible to people with disabilities:

- Buildings and facilities (including projects held in historic facilities) must be physically accessible. The following are some examples, but are not an exhaustive list:
  - Ground-level/no-step entry, ramped access, and/or elevators to project facilities and outdoor spaces;
  - Wheelchair-accessible box office, stage/backstage, meeting, and dressing rooms;
  - Wheelchair-accessible restrooms and water fountains;
  - o Directional signage for accessible entrances, restrooms, and other facilities; and
  - Accessible workspaces for employees.
- The programmatic activities must be accessible either as part of the funded activity or upon request, where relevant. The following are some examples, but they are not an exhaustive list:
  - Accommodations for performances, tours, virtually streamed events, conferences, and lectures, such as sign language interpretation, real-time captioning, and audio description;

- Print materials in alternative formats, such as large-print brochures/labels/programs, braille, and electronic/digital formats;
- Accessible and screen reader-compatible electronic materials, documents, websites, and virtual platforms, and alternative text for images;
- Closed/open captioning and audio/visual description for video, film, television broadcasts, and virtual events;
- Auxiliary aids and devices, such as assistive listening devices.

Costs associated with project-related programmatic accommodations, such as those listed above, may be included in an NEA grant budget. However, costs associated with physical construction or renovation expenses may not be included in the grant budget. In accordance with the General Terms & Conditions, a Section 504 self-evaluation must be on file at your organization, and you must have a designated 504/accessibility coordinator on staff.

For technical assistance on how to make your project accessible, contact the Accessibility Office at <a href="mailto:accessibility@arts.gov">accessibility@arts.gov</a>, 202-682-5532; or the Civil Rights Office at <a href="mailto:civilrights@arts.gov">civilrights@arts.gov</a>, 202-682-5454; or see our online <a href="mailto:Accessibility Resources">Accessibility Resources</a>.

#### **Accessibility Requirements for Research Award Products**

Ensure that your products are developed in a format readable by screen reading software. Provide a text equivalent for every non-text element, such as tables, charts, and photos by tagging them with alternate text descriptions (alt text) and captions. Do not use color-coding as the only method of conveying information.

## **Civil Rights**

Projects reach a particular group or demographic (such as sex, disability, economic status, race, color or national origin, including limited English proficiency); however, projects may not be exclusionary under Federal civil rights laws and policies prohibiting discrimination. This extends to hiring practices, artist selection processes, and audience engagement. The NEA recognizes that selection criteria in research projects that focus on specific populations are warranted where nondiscriminatory justifications establish that such criteria are appropriate for the scientific study design and/or the purpose of the research. Therefore, federal funding for research projects with a focus on a particular group or demographic may be permissible. Please review the <a href="Assurance of Compliance">Assurance of Compliance</a> which outlines the relevant federal statutes, NEA regulations, and executive orders. For additional guidance regarding how this applies to the NEA grant program, please contact <a href="NEAResearchGrants@arts.gov">NEAResearchGrants@arts.gov</a>.

The Office of Civil Rights investigates complaints about compliance with accessibility standards as well as other federal civil rights statutes. For further information and copies of the nondiscrimination regulations, contact the Office of Civil Rights at 202-682-5454 or <a href="mailto:civilrights@arts.gov">civilrights@arts.gov</a>.

### **Regulations Relating to Lobbying**

For organizations applying for more than \$100,000 (31 U.S.C. 1352).

The applicant certifies that:

- a) It has not and will not use federal appropriated funds or cost share/matching funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a member of a National Endowment for the Arts advisory panel or the National Council on the Arts, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of or modification to any federal grant or contract.
- b) If it has used or will use any funds other than federal appropriated funds to pay any person for influencing or attempting to influence any of the individuals specified above, the applicant:
  - i) Is not required to disclose that activity if that person is regularly employed by the applicant. (Regularly employed means working for at least 130 days within the year immediately preceding the submission of this application.)
  - ii) Will complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," if that person is not regularly employed by the applicant.
  - iii) Will require that the language of this certification be included in the award documents for all subawards of more than \$100,000 and that all subrecipients shall certify and disclose accordingly.

## Freedom of Information Act (FOIA) Notice

**Disclosure Notice:** The National Endowment for the Arts (NEA) may share a copy of awarded applications and/or related materials submitted to the NEA by the applicants, with the public or other third parties, where required or permitted by law.

#### Standards for Service

The National Endowment for the Arts has set the following standards for serving applicants. We pledge to:

- Treat you with courtesy and efficiency.
- Respond to inquiries and correspondence promptly.
- Provide clear and accurate information about our policies and procedures.
- Provide timely information about funding opportunities and make guidelines available promptly.

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• Ensure that all eligible applications are reviewed thoughtfully and fairly.

We welcome your comments on how we are meeting these standards. Email: <a href="mailto:webmgr@arts.gov">webmgr@arts.gov</a>, attention: Standards for Service.

For questions about these guidelines or your application, email <a href="MEAResearchGrants@arts.gov">MEAResearchGrants@arts.gov</a>. In addition, applicants may receive an invitation to participate in a voluntary survey to provide feedback on the grant application guidelines on our website and any experiences consulting with our staff.

## **Paperwork Reduction Act Statement**

The public reporting burden for this collection of information is estimated at an average of 26 hours per response. This includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. We welcome any suggestions that you might have on improving the guidelines and making them as easy to use as possible. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: <a href="webmgr@arts.gov">webmgr@arts.gov</a>, attention: Reporting Burden. Note: Applicants are not required to respond to the collection of information unless it displays a currently valid U.S. Office of Management and Budget (OMB) control number.

ALN No. 45.024 OMB No. 3135-0112 Expires 10/31/25

## **Frequently Asked Questions**

#### How can I find out when new guidelines are released?

Guidelines for Research Labs are typically posted in January of each year, with an application deadline in late March. Please monitor our website for updates. You may also email <a href="mailto:NEAResearchGrants@arts.gov">NEAResearchGrants@arts.gov</a> to sign up for our distribution list to receive updates. You can also sign up via Grants.gov.

## What is the difference between the Research Grants in the Arts program and the NEA Research Labs program?

- Research Grants in the Arts funds discrete research studies that investigate the value and/or impact of the arts, either as individual components of the U.S. arts ecosystem or as they interact with each other and/or with other domains of American life. See <a href="examples of previous Research Grants in the Arts projects">examples of previous Research Grants in the Arts projects</a>. (Prior to Fiscal Year 2020, this program was known as "Research: Art Works.")
- NEA Research Labs funds projects that support transdisciplinary research teams, grounded in the social and behavioral sciences, to produce empirical insights about the arts for the benefit of arts and non-arts sectors alike. The NEA Research Labs offers funding for longer-term research agendas, which may include multiple research studies and activities that build and inform the field throughout the life of an NEA Research Lab. The NEA may enter into up to one subsequent renewal award with the recipient of a NEA Research Labs grant for a project consistent with the intent of the program. Any such future award, however, would be subject to agency priorities, the availability of funds, recipient performance, and the agency's regular review process.

## I am a state arts agency (SAA) or a regional arts organization (RAO), and I am interested in applying for a Research Labs grant. Can I apply?

No. SAAs and RAOs cannot apply for a Research Labs grant as a recipient, but can apply to the NEA as the official applicant under the Partnership Agreements category.

## I am a SAA or RAO, and I am interested in being a partner on an application for a Research Labs grant. Can I serve as a partner?

Yes. SAAs or RAOs may participate as a partner; however, no federal or cost share/matching funds included in the <u>Partnership Agreement</u> or any other NEA award can be given to or provided by the SAA or RAO in support of the Research project.

**Do I need to include citation references in my proposal, and if so, where do I put them?**Yes. References should be included in the Project Narrative section of the Grant Application Form. Do not include them as a special attachment; the files will be removed.

#### What method of citation is preferred?

We do not currently have any requirements regarding the style of citation. Common citation formats include but are not limited to APA, AMA, Chicago, and MLA. Do not use footnoting in

the text fields of the Grant Application Form, although this is acceptable for any PDF attachments.

#### We missed the application deadline. Can I submit a late application?

No. Please review the information under Exceptions to the Submissions Deadlines.

#### Will you contact me if my application is missing anything?

No. Due to the volume of applications, we have a strict approach to incomplete applications. For your application to be considered complete, every required item MUST be included in your application package, which must be submitted no later than the application deadline date. Staff will not contact applicants to request missing material. Allow at least six weeks to prepare your application and other supplementary information. Do not wait until the day of the deadline to submit.

One of the proposed research staff is unable to complete the ethics training on human subjects research protections and thus cannot supply the required certificate of completion of the training. Is there an alternative to the requirement for this person that would allow him/her to continue as a researcher on the project without the certificate?

We require ALL researchers involved in human subjects research and data to demonstrate a plan for completion of a human subjects research protections ethics training course. If a particular researcher is unable to provide a plan for this training, then that person may not collect, process, or analyze data from human subjects as part of the project, but may serve in another research capacity.

#### Can I get a sample application?

Examples under the Research Labs program are not available at this time. However, Research Grants in the Arts category (previously known as the Research: Art Works grant category) can be found in the FOIA Reading Room, Frequently Requested Records.

## How soon after the "Earliest Start Date for Proposed Project" for my deadline does my project have to begin?

The project can start any time on or after that date.

#### Can my project start before this date?

No. Proposed project activities for which you're requesting support cannot take place before this date. Pre-award costs are not authorized. Ask the NEA to fund only the portion of your project that will take place after the "Earliest Start Date for Proposed Project." If you include project costs that are incurred before the "Earliest Start Date for Proposed Project" in your Project Budget, they will be removed.

#### How long can my project last? May I apply for another project during this period?

NEA Research Lab awards generally allow a period of performance of up to 30 months, with the possibility of one subsequent renewal award, subject to agency priorities, the availability of

funds, recipient performance, and the agency's regular review process. The life of NEA funding of an NEA Research Lab could be up to five (5) years, including the renewal awards.

If you get close to the end of your award period and need more time, you may request an extension, but approval is not guaranteed.

An eligible organization may apply for funding for another project (with totally different project costs) in a subsequent year(s) even if a NEA-supported project is still underway. Note that if you do receive an extension on an existing award, it may affect your new proposed project. All requests for changes and extensions to awards must be submitted through a proper REACH account.

## My organization received an NEA Research Labs award last year (or in prior years); are we eligible to apply under the NEA Research Labs program?

It depends. As an official applicant, you may be eligible to apply for this round depending on the existing Lab's period of performances for their awards. If all previous Lab awards will be closed out by the "Earliest Start Date" for your new application, you are eligible to apply. However, submitting a new application will forfeit the possibility of a subsequent renewal award under the existing Lab award.

Separately, you can serve as a partner on another application from an eligible applicant organization—partnering organizations and/or partnering individuals are not required to meet the official applicant eligibility requirements.

## I am the project director for an NEA Research Labs award, but I am starting a new job at a different organization. Can I transfer my Lab to the new organization?

No. It is expected that the lead applicant organization selected to receive an award will complete the research project. **We will not transfer the award to another organization.** 

#### Can federally recognized tribes apply?

Yes, if the applicant organization otherwise meets the <u>eligibility criteria</u>. In keeping with federal policies of <u>Tribal Self Governance</u> and <u>Self-Determination</u>, we may provide support for a project with a primary audience restricted to enrolled members of a federally recognized tribe. Applicants (federally recognized tribal governments, nonprofits situated on federally recognized tribal lands, or other nonprofits whose mission primarily serves federally recognized tribal enrollees) should consult with <u>NEAResearchGrants@arts.gov</u> to verify their eligibility before preparing an application.

#### Can non-federally recognized tribes apply?

Yes, as long as the applicant otherwise meets the <u>eligibility criteria</u>. Projects for non-federally recognized tribes and indigenous groups may be supported, but project participation cannot be restricted to only tribal members.

#### Can Native Hawaiian groups apply?

Yes, as long as the applicant otherwise meets the <u>eligibility criteria</u>. Projects for Native Hawaiians may be supported, but project participation cannot be restricted to only Native Hawaiians.

## Can our organization use funds we received from other federal agencies as cost share/match for an NEA Research Labs award?

No. Federal funds are not allowed to be used as cost share/match for federal grants (This includes the Paycheck Protection Program from the Small Business Administration (SBA), as well as other federal funding, including but not limited to funding from:

- AmeriCorps
- Institute of Museum and Library Services
- National Endowment for the Humanities
- National Institutes of Health
- National Park Service
- National Science Foundation
- U.S. Department of Agriculture
- U.S. Department of Education (e.g., grants from the Institute of Education Sciences)
- U.S. Department of Housing and Urban Development, or
- An entity that receives federal appropriations, such as the Corporation for Public Broadcasting or Amtrak

## My organization received other NEA awards, such as Grants for Arts Projects, Our Town, or Research Grants in the Arts funding. Can we also apply to Research Labs?

Yes. You can apply as long as you meet the eligibility criteria. However, you need to be sure that there are no overlapping costs during the same grant period.

# How should my organization formulate its project if we're not sure whether in-person research and associated activities will be possible? Will it be possible to make project changes if needed later in the process?

You should do your best to complete information within the application to the best of your knowledge. If you are recommended for an award, you will have an opportunity to request changes (e.g., a time extension, a modification to project activities) as outlined in the <a href="How to Manage Your Award Handbook">How to Manage Your Award Handbook</a>.

We will work with you to try to accommodate changes to your project, but approval is not guaranteed. If you need to request a change, please contact the NEA at both <a href="mailto:grants@arts.gov">grants@arts.gov</a> and <a href="mailto:NEAResearchGrants@arts.gov">NEAResearchGrants@arts.gov</a> to discuss what is possible. Only the NEA Office of Grants Management is authorized to amend or change an NEA award. Written and/or verbal approval of proposed project changes from any other NEA office does not constitute an approved change to an award.