

**Arts and Artifacts Indemnity Program
International Indemnity**

OMB 3135-0094
Exp. 1/31/2017

CFDA Number: 45.201
Funding Opportunity Number: 2017FCAH01

How to Apply

Please read these instructions carefully before completing your application. No indemnification agreement may be entered into unless a completed application has been received in accordance with the provisions of Public Law 94-158 (20 USC 973). Only applications that provide thorough answers to each question can be considered for a Certificate of Federal Indemnity.

If you have questions about eligibility, the content of your application, or the Indemnity Program in general, contact:

Patricia Loiko
Indemnity Administrator
National Endowment for the Arts
Telephone: 202-682-5541
Fax: 202-682-5721
E-mail: loikop@arts.gov

Laura Bramble
Assistant Indemnity Administrator
National Endowment for the Arts
Telephone: 202-682-5035
Fax: 202-682-5721
E-mail: bramblel@arts.gov

* * * * *

How to Prepare and Submit Your Application for Indemnity

Applicants must submit applications electronically through Grants.gov, the federal government's on-line application system. The Grants.gov system must receive your validated and accepted application no later than 11:59 p.m., Eastern Time, on the deadline date (September 15, 2016 for Certificates of Indemnity that may be issued as early as January 1, 2017).

We strongly recommend that you submit by September 5, 2016 to allow time to resolve any problems you might encounter.

In addition to the material you submit through Grants.gov, you must submit visual documentation. You will upload these materials electronically through the National Endowment for the Arts GrantsOnline™ System (NEA-GO), which is administered for the NEA by the Western States Arts Federation (WESTAF). Details on this process are found at the end of these instructions.

Register or Renew/Verify Your Registration with Grants.gov

NOTE: Grants.gov has implemented security requirements for its use. Applicants are required to change their passwords every 60 days. See www.grants.gov for more details.

It is your organization's responsibility to create and maintain a regularly updated registration with Grants.gov. This includes registration with the System for Award Management (SAM), **where your organization's information must be renewed annually. Finalize a new, or renew an existing registration at least two weeks before the application deadline.** This should allow you time to resolve any issue that may arise with Grants.gov or SAM. Failure to comply with these requirements may result in your inability to submit your application.

If your organization is not yet registered, go to Grants.gov's [Get Registered](#). Allow a minimum of two weeks for this multi-step, one-time process. If your organization already has registered, renew your registration with SAM **and** verify that your registration with Grants.gov is current.

If you have problems with registration:

- SAM Assistance Center: call 1-866-606-8220, send a message through the website at www.sam.gov, or see the information posted on the SAM website at [Help](#).
- Grants.gov Contact Center: call 1-800-518-4726, e-mail support@grants.gov, or consult the information posted on the Grants.gov website at [Support](#). The Grants.gov Contact Center is available 24 hours a day, 7 days a week.

Maintain documentation (with dates) of your efforts to register or renew at least two weeks before the deadline.

You do not need to complete the registration process to download the application package and to prepare your material. However, you will need your Username and Password that you obtain during the registration process to submit your application.

Prepare your application materials

1. Verify your software.

You must have a version of Adobe Reader (a small, free software program) that is supported by Grants.gov installed on your computer before you download your application package from Grants.gov. Non-compatible versions of Adobe Reader or other Adobe products will lead to errors and prevent you from submitting your application. If more than one computer will be involved in the

preparation of the application package, ensure that the same version of Adobe Reader is used on each.

Go to “[Download Software](#)” to see the compatible versions of Adobe Reader and, where necessary, to download and install the appropriate Adobe Reader software. Please see important information about versions of Adobe Reader DC [here](#).

2. To apply under the September 15, 2016 deadline, click on the DOWNLOAD link below to go to the application package on Grants.gov.

[DOWNLOAD](#)

Funding Opportunity Number: 2017FCAH01

This will bring you to the “Selected Grant Applications for Download” screen.

Download the application package and follow the instructions below. It is not necessary to download the instructions from Grants.gov as you will merely be directed back to the instructions in this document.

If you have a compatible version of Adobe Reader and experience difficulty downloading the application package, try using a different Internet browser.

Do not open a Grants.gov “Workspace” environment to create, prepare, or submit your application materials.

3. When you download the application package, the Grants.gov "Grant Application Package" screen will open. Click on the "Save" button at the top of the form and **save the application package to a location on your computer or network where you can find it readily**. Save your application each time you work on it. You will get the message "The file already exists. Replace existing file?" Click "Yes" to ensure that you always save the most recent version.
4. Open the application package. In the “Application Filing Name” box, enter your organization’s legal name.

You can access each Mandatory form by clicking on it OR you can scroll down your screen and you will come to each form in succession.

These forms are:

- Application for Federal Domestic Assistance/Short Organizational Form (SF-424)
- Attachments Form, to which you will attach:
 - Attachment 1: Indemnity Narrative, including floor plans, facility reports, etc.

- Attachment 2: Identification and U.S. Dollar Value of Non-United States-Owned Objects Requested for Indemnity
- Attachment 3: Identification and U.S. Dollar Value of United States-Owned Objects Requested for Indemnity
- Attachment 4: Identification of Objects Not Requested for Indemnity

5. Complete the application based on the instructions outlined below.

Instructions for the Application for Federal Domestic Assistance/Short Organizational Form (SF-424)

NOTE: All asterisked (*) items and yellow fields on this form are required and must be completed before you will be able to submit. Do not type in all capital letters. Enter information directly into the form; do not copy from an old application or other document and paste into the form. Items 1 and 2 have been pre-populated. Item 3, *Date Received*, will be filled in automatically with the date that you submit your application; leave this field blank. Item 4 has been pre-populated.

SF-424 Question 5. Applicant Information:

- a. Legal Name: Enter the legal name of the U.S. nonprofit organization or government entity applying for indemnity as it appears in the current IRS 501(c)(3) status letter or in the official document that identifies the organization as a unit of state or local government, or as a federally recognized tribal community or tribe. If an exhibition is being shown at several venues, one organization should apply on behalf of all participants. The Federal Council on the Arts and the Humanities requires that the applicant must have previously organized at least one museum-caliber exhibition containing objects borrowed from one or more non-U.S. public and/or private collections.
- b. Address: Use Street 1 for your street address or post office box number, whichever is used for your U.S. Postal Service mailing address. Street 2 should be used only when a Suite, Room Number or other similar information is a necessary part of your address. In the Zip/Postal Code box, enter your full 9-digit zip code (you may look it up at www.usps.com/zip4/).
- c. Type of Applicant: Select the item that best characterizes your organization from the menu in the first drop down box. Additional choices are optional.
- d. Employer/Taxpayer Identification Number (EIN/TIN): Enter the 9-digit number that was assigned by the Internal Revenue Service; do not use a Social Security Number.

e. Organizational DUNS: All organizational applicants for federal funds must have a DUNS number, which is recognized as the universal standard for identifying organizations worldwide. The number that you enter here must agree with the number (either 9 or 13 digits) that you used with the SAM as part of the Grants.gov registration or your application will not be validated by Grants.gov and will be rejected.

f. Congressional District: Enter the number of the Congressional District where the applicant organization is located. Use the following format: two-character State Abbreviation-three-character District Number. For example, if your organization is located in the 5th Congressional District of California, enter "CA-005." If your state has a single At-Large Representative or your territory has a single Delegate, enter your two-digit state/territory abbreviation and "-000." If you need help, visit the House of Representatives website at www.house.gov and use the "Find Your Representative" tool.

SF-424 Question 6. Project Information:

- a. Project Title: Enter the title of the exhibition.
- b. Project Description: In two or three brief sentences, provide a concise description of your exhibition. Include the subject matter, type of objects to be included (paintings, sculpture, manuscripts, etc.), those responsible for organizing the exhibition, and catalogue author(s).

NOTE: This field on the form has a character limit of 1,000, including spaces. Even if you have less than 1,000 characters, Grants.gov may translate special charges (e.g. apostrophe, question mark) in a way that will cause your application to be rejected. We strongly encourage you to write a succinct project description and double check the number of characters.

- c. Proposed Project Start Date/End Date: Enter the beginning and ending dates for the total period for which you are requesting indemnity coverage (this is usually one month prior to the public opening, and one month after the public closing, of the exhibition; if additional time is needed, justify the extended dates within the narrative, Question 1). The indemnity time period begins on the date that condition reports are prepared and signed, typically prior to initial packing at the lender premises, and may include the time objects are in transit and while on exhibition. Indemnity coverage ends on the date that condition reports are completed upon return to the location designated by the lender, or as determined by the Federal Council.

Note: The Federal Council rarely approves coverage for a total indemnity period greater than two years.

SF-424 Question 7. Project Director: Enter the name for the person responsible for organizing the exhibition. Select a Prefix (e.g., Ms., Mr.) even though this is not a required field.

SF-424 Question 8. Primary Contact/Grant Administrator: Enter information for the person who should be contacted on all matters involving this application and the administration of an indemnity agreement that may be awarded. Select a Prefix even though this is not a required field. If this individual is the same as the Project Director, you may check the "Same as Project Director" box.

SF-424 Question 9. Authorized Representative: Enter information for the Authorized Organization Representative who will submit this application to Grants.gov. The AOR must have the legal authority to obligate your organization. If the Primary Contact/Grant Administrator is the same as the AOR, complete all items under both 8 and 9 even though there will be some repetition.

By clicking the "I Agree" box at the top of Item 9, this individual certifies that:

- Information contained in this application, including all attachments and supporting materials, is true and correct.
- Valuations for objects requested for indemnity are accurate and represent current fair market values to the best of his/her knowledge.
- Dated loan agreements, including U.S. dollar valuations and agreement to federal indemnity, will be in his/her hands prior to completion of the first condition report of each indemnified object to start the indemnity period.

The "Signature of Authorized Representative" and "Date Signed" boxes will be populated by Grants.gov upon submission of the application.

Instructions for the Indemnity Narrative (Attachment 1 of the Attachments Form)

How to Use the Attachments Form

Use the Grants.gov Attachments Form to attach your Indemnity Narrative. You can create your narrative using any word processing software.

Please follow these instructions:

1. Label all pages clearly with your organization's legal name and "Indemnity Narrative." No page format should exceed 8 1/2 x 11 inches. Do not reduce type below 12-point font size. Do not type in all capital letters. Number pages sequentially.

2. **Convert your file to PDF before attaching**, which allows you to preserve the formatting of your document so it can be presented to reviewers exactly as you intend.
3. Name your file before you attach it. The name of the file should indicate the name of your organization or a recognizable acronym followed by "Indemnity Narrative" (e.g., "ABCOrganizationIndemnityNarrative.pdf"). Limit file names to 50 characters and **use only the following characters** when naming your attachments: A-Z, a-z, 0-9, underscore (_), hyphen (-), space, and period. You cannot change the name of a file on the Attachment Form. Therefore make certain that each file is named correctly **before** you attach it. If you use special characters, your application may be rejected.
4. When you open the Grants.gov Attachments Form, you will find 15 attachment buttons, labeled "Attachment 1" through "Attachment 15." Incorporate **ALL** materials, including facility reports and floor plans, and as applicable charts, diagrams, etc., into the Narrative, and attach to Attachment Button 1 (do not use buttons 5-15); see instructions for Questions 12 (Attachment 2), 13 (Attachment 3), and 14 (Attachment 4), below. Click on this button and you will be able to choose the file from your computer that you wish to attach.
5. If you try to view your attachment by clicking the "View Attachment" button on the Attachments Form but are unsuccessful, check the bottom of the screen for the message: "Pop-ups were blocked on this page." If you see this message, press "Ctrl" and "View Attachment" to see the attachment.

Attachment 1: INDEMNITY NARRATIVE

Your narrative must address each of the following items. Use the boldfaced numbers and headings below to organize your response.

1. Title, Place(s) and Dates of Exhibition

- (a) Include the exhibition title, and specific venue, city, state, and country, and dates, where and when it will be viewed. If indemnity coverage is requested to begin or end at a site other than the lender premises, indicate specific site and dates. If indemnified objects will be placed in storage at any time during the indemnity period, give dates and the facility to be used, and within the applicable Narrative sections fully describe how objects will be cared for and protected (the Federal Council may determine storage periods to be ineligible for indemnity coverage).
- (b) Explain if indemnity coverage is requested for specific time periods only (i.e. to begin with condition reports at the first venue but not include inbound transit).

NOTE: The Federal Council generally limits indemnity coverage for a single exhibition to five venues (or fewer, depending upon the type and condition of the objects) and/or two years or less. The Federal Council requires that participating venues must have previously organized or hosted at least one museum-caliber exhibition, with loans from public and/or private collections. Indemnity should not be requested for inaugural exhibitions in new buildings or substantially renovated spaces.

NOTE: Report modifications of the exhibition title and/or dates that occur after application submission to the Indemnity Administrator, even if the change is applicable to individual venues.

2. Total Number and Value of Objects Requested for Indemnity

- (a) Total current U.S. dollar lender value of all objects requested for indemnity.
- (b) Total **number** and U.S. dollar lender **value** of non-U.S.-owned objects requested for indemnity.
- (c) Total **number** and U.S. dollar lender **value** of U.S.-owned objects requested for indemnity.
- (d) Estimate of private/commercial insurance premium which would be required to cover objects requested for indemnity, if indemnity was not approved.
- (e) Source of the insurance premium estimate.

3. Total Number and Value of the Entire Exhibition

- (a) Total current U.S. dollar valuation for all objects in the entire exhibition (include objects requested for indemnity, and objects not requested for indemnity).
- (b) Total number of objects in the entire exhibition.

4. Exhibition Significance

- (a) State the significance and the educational, cultural, historical, and/or scientific value of the objects requested for indemnity, and for the entire exhibition.
- (b) Explain how the risk to the objects is justified by the purposes of the exhibition.
- (c) Provide the name of the organizer, and the name and title of the curator responsible for the exhibition at each venue.
- (c) Indicate if there will be a catalogue or publications and name the author(s).
- (d) Describe related public activities planned in conjunction with the exhibition at each venue.

If coverage is requested for non-U.S.-owned and U.S.-owned objects, demonstrate that the non-U.S.-owned objects are integral to the exhibition as a whole. This information is essential for the Federal Council to evaluate the significance and value of objects to be indemnified and their relationship to the exhibition.

5. Packing, Shipping, Environmental, and Security Arrangements for Objects Requested for Indemnity

IMPORTANT: NOTE ON IMMUNITY FROM SEIZURE

Public Law 89-259 and Executive Order 12047 authorizes the Department of State to issue notices in the Federal Register to immunize certain cultural objects from seizure by judicial process. The objects must be imported into the United States pursuant to an agreement between the non-U.S. owner or custodian and a U.S. cultural or educational institution for a non-profit exhibition or activity. Prior to publication of the immunity notice, the Department of State must determine that the exhibition of objects is in the national interest, and that the objects are of cultural significance. **The Federal Council strongly recommends applying for this protection for all international exhibitions.** To obtain further information, or to apply for such protection, contact:

Office of the Legal Advisor for Public Diplomacy and Public Affairs
United States Department of State
301 Fourth Street, S.W.
Washington, D.C. 20547
202-453-8050
www.state.gov

NOTE: If a Certificate of Indemnity is awarded it will be based primarily on details provided in Question 5. The indemnitee must notify the Indemnity Administrator **IN ADVANCE** to any change affecting the time period, list of objects, arrangements for packing, shipping, handling, installation, environment, security, storage, etc. as stated herein or subsequently submitted, for approval by the Federal Council before an awarded Certificate can be amended. The Federal Council will **NOT** be responsible for loss or damage to an indemnified object occurring as a result of an unauthorized change.

Indemnity is intended to cover objects in exhibitions for which the most professional and thorough care in packing, shipping, climate control, and security arrangements is provided. This section is a critical part of the application and will be examined very closely. If coverage is requested for both non-U.S. and U.S.-owned objects, describe arrangements for the non-U.S. first, followed by the U.S. For these arrangements to be assessed it is essential that you state as completely as possible the policies, procedures, techniques, and methods to be employed with respect to the following categories (use the headings below to organize your response). Include descriptive charts, diagrams, and illustrations as applicable.

NOTE: When objects are specifically identified within the narrative, include their corresponding numbers from lists 12 and 13.

NOTE: Indicate if objects will not be exhibited at all venues (this may be noted on the lists for Questions 12 and/or 13), and provide applicable logistics.

NOTE: At the end of the Narrative include a current facility report for each venue, off-site storage facility or other location (other than the lender) that may be utilized regardless of the length of time indemnified objects will be located there during the indemnity period.

(a) Packing arrangements at premises designated by the lender(s).

1. Provide name of the institution and/or company responsible for the initial packing and crating from the location designated by the lenders, and fully describe all interior and exterior packing and crating specifications (materials and methods), especially for each type of object (these may differ by lender).
2. Confirm that crates will acclimatize for at least 24 hours before unpacking at each location.
3. Confirm that pack/crate notes, with applicable photographs, will be recorded upon initial unpacking at the first venue and will be shared with participating venues.
4. Describe the location and conditions where empty crates/packing materials will be stored during the exhibition, including environmental statistics (depending on conditions, the Federal Council may require that empty crates acclimate to exhibition gallery conditions for 24-48 hours prior to packing).

(b) Shipping and security arrangements during transport.

NOTE: The Federal Council requires that each conveyance vehicle containing indemnified objects be accompanied by a courier on board. Vehicles must never be left unattended.

Generally, shipment of objects by "hand carry" is not approved.

DO NOT INCLUDE DATES OF TRAVEL FOR SHIPMENTS/COURIERS.

1. Fully describe the shipping and security arrangements for the objects during transport, including the initial assemblage, transfer between sites, and return to the lenders.
2. Provide names of shipping companies (if using a coordinator, forwarder or sub-contractor also provide the names of companies operating the vehicles in which the objects will be transported), and conveyance vehicle specifications (i.e. dual driver, environmental controls, alarms, GPS, etc.), to be used for all shipments.
3. For air travel provide anticipated airlines (but not flight numbers) and airports to be used, names of shipping/customs companies and their duties, and confirm tarmac supervision for all flights.
4. Describe TSA screening location and procedures (methods, equipment, etc.) for cargo departing on passenger flights from U.S. airports.
5. Provide anticipated titles/positions of couriers, and confirm that couriers will ride on board each conveyance vehicle.

(c) Packing and shipping arrangements for fragile objects (see also 12(B) NOTE).

For objects considered to be fragile and/or vulnerable to the hazards of loan, such as large and/or heavy works, oil on wood panel paintings, or objects comprised of multiple media:

1. Give accurate descriptions of present conditions, including name and qualification of person(s) responsible for making the assessment, and your means of verifying the satisfactory condition of these objects prior to the initial packing.
2. Describe special precautions to be taken for packing, shipping, handling, and installation to minimize the risk of damage.
3. For objects with one or more dimension beyond 120 inches, explain if they disassemble to under 120 inches (give number of sections and size of each) for packing, crating, transit, and installation, and provide specific details as applicable.
5. For oil on wood panel paintings, describe climate conditions at the lender premises as known, and how the environment will be maintained throughout the indemnity period.

(d) Condition reports.

NOTE: All condition reports must be made during the indemnity period. Submission of condition reports with the application is not required. However, an applicant is encouraged to provide a condition report for an especially fragile object as evidence of its stability. In the event of a claim for loss or damage condition reports must be available immediately for inspection by the Federal Council.

If a Certificate of Indemnity is awarded, the indemnitee is responsible for confirming the stability of the objects for travel and, if necessary, to assure the Federal Council at any time during the indemnity period that conditions of objects correspond to the initial condition reports.

1. Confirm if a qualified professional has determined that objects are able to withstand the rigors of packing, shipping, and installation.
2. Indicate provisions for providing condition reports at the following points, giving the name and/or title of the person(s) responsible for filing the report:
 - 2i. Immediately prior to packing and shipping from the location of lender.
 - 2ii. Immediately upon delivery, after 24 hour acclimatization, and inspection at each venue.
 - 2iii. Immediately prior to packing and shipping from each venue.
 - 2iv. Immediately prior to packing and return shipping to location of lender.
 - 2v. Immediately upon delivery, after 24 hour acclimatization, and inspection at location of lender.

3. If storage facilities will be used, indicate provisions for providing condition reports, as applicable.

(e) Climate control conditions (at exhibition venues and storage facilities).

Facility reports will be used as reference only. For each venue (include specific places in the building where indemnified objects will be located), and at each storage facility, provide:

1. Specifications of the type, operation, and maintenance oversight of the climate control equipment.
2. Temperature: degrees in Fahrenheit and average 24-hour ranges.
3. Relative humidity: percentage(s) and average 24-hour ranges.
3i. Method to maintain RH in display cases, vitrines, etc., as applicable.
4. Light levels: maximum level in foot-candles to be set for each object type.
5. Special precautions to be taken for objects susceptible to damage as a result of fluctuations or extremes in humidity, temperature and/or lighting (such as wood, furniture, works on paper, etc.).

NOTE: For objects considered light sensitive the Federal Council recommends that light levels not exceed ten foot-candles.

(f) Security arrangements (at exhibition venues and storage facilities).

NOTE: The Federal Council requires human presence 24-hours/7-days inside buildings where indemnified objects are located.

Each approved participating venue must submit to the Indemnity Administrator a letter from its Chief of Security, endorsed by its Director, within 30 days preceding the opening of the exhibition at that venue, to certify that security arrangements remain as stated in the approved application or as subsequently submitted and approved.

Facility reports will be used for reference only. For each venue (include specific places in the building where indemnified objects will be located), and at each storage facility, describe:

1. Number of guards assigned to this exhibition area while the exhibition is open to the public.
AT THE END OF THE NARRATIVE INCLUDE A FLOOR PLAN of the exhibition galleries for each venue, indicating anticipated guard placement and their possible line of sight to indemnified objects.
2. Number of guards on duty in the building 24/7 while the exhibition is **not** open to the public.
3. How galleries will be protected, and if a guard will be present at each gallery entrance to restrict access, during installation and de-installation.
4. Electronic surveillance and monitoring.
5. Fire protection measures, including if exhibition galleries and storage areas

have wet or dry sprinklers.

6. Installation plans, and related security, for objects by type/size/weight, etc. Also include:

6i. general and specialized installation equipment to be used.

6ii. security hardware such as hanging rods, screws, alarms, and vitrines, stanchions, pedestals, barriers (for each type of obstacle provide anticipated depth in inches between the outermost projection of objects and public reach), etc., to be used.

6iii. other protective measures, especially to mitigate against seismic activity.

(g) Transit limit per conveyance (vehicle) of transportation.

NOTE: The Federal Council generally permits a maximum of \$80 million of indemnified value per conveyance. In special circumstances, approved limits may be less than, or more than, \$80 million. An applicant may request a waiver of up to \$100 million of indemnified value per conveyance, and should provide justification for the request beyond that of financial relief. Value in excess of the amount indemnified must be insured by the indemnitee or other party.

1. Indicate the maximum value of indemnified objects to be transported in a single conveyance (vehicle) of transportation.

(h) Government Regulations, as applicable.

1. Indicate if, and by whom, application will be filed for immunity from judicial seizure through the U.S. Department of State.

2. Indicate if, and by whom, application will be filed for CITES permits through the U.S. Department of the Interior, Fish and Wildlife Services.

3. Describe, and indicate if, and by whom, additional permissions will be filed, as may be required.

6. Other Insurance Arrangements

NOTE: The maximum limit of indemnity coverage per exhibition is \$1.8 billion.

The Arts and Artifacts Indemnity Act provides for a deductible per exhibition of:

- \$15,000 for up to \$2 million in indemnified value;
- \$25,000 over \$2 million up to \$10 million in indemnified value;
- \$50,000 over \$10 million up to \$125 million in indemnified value;
- \$100,000 over \$125 million up to \$200 million in indemnified value;
- \$200,000 over \$200 million up to \$300 million in indemnified value;
- \$300,000 over \$300 million up to \$400 million in indemnified value;
- \$400,000 over \$400 million up to \$500 million in indemnified value;
- \$500,000 over \$500 million in indemnified value.

- (a) State the insurance or other arrangements, including name(s) of the insurance company, to cover the deductible and any value in excess of the amount approved for indemnity.

7. Financial Arrangements

- (a) List the source(s) of assured or anticipated support to be used to cover the general expenses of organizing the exhibition.
- (b) Describe the nature and amount of loan fees or other contractual arrangements in excess of \$10,000 for the entire exhibition with lenders to the exhibition or with foreign governments representing lenders.

8. Previous Losses/Damages

- (a) Describe any loss or damage over \$5,000 to permanent collection or borrowed objects (whether or not a claim was filed) incurred by the applicant, a participating venue, and/or storage facility named herein, during the three years prior to this application.

For each loss/damage include:

1. Location and date of the event.
2. Nature and cause.
3. Description and appraised value of the object(s) before and after the event.
4. If litigation determined blame or negligence.
5. Measures taken to prevent reoccurrence.

Note: Should loss and/or damage be incurred by the applicant, a participating venue, and/or storage facility after this application is submitted, notify the Indemnity Administrator immediately.

9. Accreditation by American Alliance of Museums (AAM)

NOTE: Accreditation is not required to receive an indemnity. However, if an applicant or participating venue is not accredited, the Federal Council reserves the right to request a statement from that venue describing its procedures for handling loss or damage, or other pertinent information.

- (a) State if each participating venue is accredited by AAM, and date of last accreditation.

10. Exhibitions Outside the United States

The Arts and Artifacts Indemnity Act permits U.S.-owned objects to be indemnified while on exhibition outside the U.S., preferably when they are part of an exchange of exhibitions between a foreign country and the U.S.

For exhibitions from the U.S. on exhibition abroad, priority will be given: 1) if an exchange of exhibitions is planned, and 2) if the responsibility for indemnity will be shared between the U.S. and foreign institution(s). An exchange of exhibitions can be conceived and planned concurrently by the U.S. and foreign institutions, or created in response within five years (i.e., the time lapse between the end of the first exhibition and the beginning of the second should not exceed five years). Both exhibitions should be of comparable importance.

- a) If this exhibition will take place outside the U.S., describe in detail the nature of the exchange, information about the exhibition being shown in the U.S. and any other insurance arrangements.
- b) If no exchange is planned, explain if the country in which this exhibition is to be shown have an indemnity program.

If a single exhibition contains objects U.S.-owned and non-U.S.-owned, and is to be shown at sites both in the U.S. and abroad, indemnity can be requested for the U.S.-owned and non-U.S.-owned objects while on exhibition in the U.S., and for the U.S.-owned objects only while on exhibition abroad. However, priority for coverage will be given to joint exhibitions in which the responsibility for indemnity is shared by the U.S. and foreign institutions.

- c) If this application is for coverage of a single exhibition, with non-U.S.- and U.S.-owned objects on exhibition in the U.S., and U.S.-owned objects on exhibition abroad, include a statement to that effect in Question 6(b) of SF-424, and:
 - i. Include all relevant application information (e.g. places and dates of exhibition; packing, shipping, security, environmental information; provision of condition reports, facility reports, floor plans, etc.) for all venues in the appropriate application questions of the Narrative.
 - ii. Include Question 12 (Identification and Valuation of Non United States-Owned Objects Requested for Indemnity) for coverage of non-U.S.-owned objects while on exhibition in the U.S.; and Question 13 (Object Identification and Valuation for United States-Owned Objects Requested for Indemnity) for coverage of the U.S.-owned objects while on exhibition in the U.S. and abroad.

Note: indemnity under these guidelines does not cover exhibitions consisting solely of U.S.-owned objects on exhibition in the U.S., or solely of non-U.S.-owned objects on exhibition abroad.

11. Third Party Opinion of Lender Value For Objects Requested for Indemnity

The applicant must have lender values assessed by experts other than staff of the applicant, participating venues, lenders, or anyone directly involved with the exhibition. An expert may be, for example, personnel of an auction house or dealer,

or an outside curator unaffiliated with the exhibition. If requested objects are of two or more types, cultures, time periods, etc., it may be necessary to consult more than one expert (they do not need to be from the same source). The Third Party 1) may agree with the lender value as fair market value, or 2) may believe the lender value is lower than fair market value, or 3) may believe the lender value is higher than fair market value. If the Third Party does not concur with the lender value he/she should suggest an alternate value. State the Third Party's opinion of the values in the Identification and U.S. Dollar Value of Objects Requested for Indemnity (Attachments 2 and 3, and as applicable, 4); see instructions, below.

(a) Provide the name and title for each expert and a brief statement that establishes his/her expertise and qualifications (see Question 12(g)).

NOTE: In no case will an approved indemnified value exceed the lender value.

Instructions for the Question 12: "Identification and U.S. Dollar Value of Non-United States-Owned Objects Requested for Indemnity" list (Attachment 2 of the Attachment Form), Question 13: "Identification and U.S. Dollar Value of United States-Owned Objects Requested for Indemnity" list (Attachment 3 of the Attachment Form), and Question 14: "Identification of Objects Not Requested for Indemnity" list (Attachment 4 of the Attachment Form):

Use the Grants.gov Attachments Form to attach your listings, following these instructions:

1. Submit Attachments 2, 3, and 4 as separate MS Excel spreadsheet files as specified in sections 12, 13, and 14, below. **Do not convert spreadsheets into PDF format.** Use Arial, 12-point font size. Do not type in all capital letters. Do not insert numerical formulas into spreadsheets. Pages should appear in LANDSCAPE format to fully fit onto an 8 1/2 x 11 inch sheet.
2. Name your file before you attach it. The name of the file should indicate the name of your organization or a recognizable acronym followed by "Attachment 2" or "Attachment 3 or 4" (e.g., "ABCOrganizationAttachment2.xlsx"). Limit file names to 50 characters and **use only the following characters** when naming your attachments: A-Z, a-z, 0-9, underscore (_), hyphen (-), space, and period. You cannot change the name of a file on the Attachments Form. Therefore make certain that each file is named correctly **before** you attach it. If you use special characters, your application may be rejected.
3. When you open the Grants.gov Attachments Form, you will find 15 attachment buttons, labeled "Attachment 1" through "Attachment 15." Attach "Attachment 2" to Attachment Button 2; likewise, attach "Attachments 3 and 4" to Attachment Buttons 3 and 4. Click on the button(s) and choose the file from your computer that you wish to attach.
4. If you try to view your attachment by clicking the "View Attachment" button on the Attachments Form but are unsuccessful, check the bottom of the screen for the

message: "Pop-ups were blocked on this page." If you see this message, press "Ctrl" and "View Attachment" to see the attachment.

12. Attachment 2: Identification and U.S. Dollar Value of Non-United States-Owned Objects Requested for Indemnity

NOTE: Country of ownership is determined by the residence of the legal lender and not by the location of the object.

Provide a numbered list of all objects for which indemnity is requested in spreadsheet LANDSCAPE format. Follow this template, using only these column titles and row numbers (information must match information provided with the visual documentation; see instructions for **Electronic Submission of Visual Documentation, Visual Documentation Preparation**, below):

A	B	C	D	E	F	G	H
Number	Object Type	Object Description	Lender	Lender Value	Source of Lender Valuation	3 rd Party Opinion Value	Source of 3 rd Party Opinion Value
12.1							
12.2, etc.							

NOTE: The applicant determines the order of the list (by lender, lender location, artist, theme, etc.).

Include the following information for each object:

- (a) Number: Start with number 12.1 and number consecutively. This number must match the number for the same object in the visual documentation that you submit, and also where the specific object is described within the narrative text.
- (b) Object Type: For example, painting, drawing, photograph, ceramic, sculpture, furniture, jewelry, textile, scientific instrument, manuscript, etc.

Indemnity does not consider auxiliary materials, such as frames, cases, mounts, etc. unless specifically itemized and for which full details, value, and image are submitted.

NOTE: For concern of risk, the Federal Council is generally opposed to indemnifying oil on copper paintings, objects containing pastel, chalk, charcoal, lacquer, certain types of glass (including enamels), works on parchment or vellum, marquetry, frescoes, and other fragile objects. Oversized objects (exceeding 10 feet/120 inches in any direction, or of an excessive weight) and oil on wood panel paintings will be reviewed on a case by case basis. Oil on single wood panels larger than two feet by three feet (with one dimension no greater than 24 inches) will not be indemnified. The Federal Council may determine that objects be moved to the list of non-indemnified objects.

- (c) Object Description: Artist name, nationality and dates, title, date, medium, support, dimensions in inches (include HxWxD for 3-D objects), and lender accession/inventory number. If the borrower does not agree with a lender's attributions, explain.

Indicate if an object contains more than one part (e.g., a pair of earrings). If an object is described as a pair or set, it is the applicant's responsibility to advise the lender of the Federal Council's policy regarding loss of a part of a pair or set (see Clause 7 of the Certificate of Indemnity).

In addition:

- For objects directly on or mounted onto *panel, wood or board*, include the type of wood.
- For *collages* and *mixed media*, identify the medium/materials (and support, if applicable) of each element.
- For *manuscripts* and *sketchbooks*, indicate the entire number of pages, and the number and size of illuminations or illustrations. Give page number(s) to be exhibited.
- For *books*, give the number and date of the edition and, if possible, the rarity (e.g. the number of known copies), and describe annotations or associations. Give page number(s) to be exhibited.
- For *prints* and *photographs*, give the date of the print, indicate if the print was not made by the artist or photographer, and note if it is the only state, or which state it is, if known.
- For *large* and/or *particularly heavy objects*, give the weight in pounds.

- (d) Lender: **Full name**, city, state, and country of location or residence of the legal owner. Private lenders must be identified by name, city, state, and country of residence, even if represented by an agent on their behalf (i.e. if a gallery or dealer is listed as owner but is acting on behalf of the owner, the owner and

place of residence must be included). Objects owned by a curator associated with this exhibition must be listed under Question 14 "Identification of Objects Not Requested for Indemnity" (Attachment 4).

NOTE: Objects owned by the applicant or participating venues may not be indemnified while on exhibition at that lender's premises. If traveling, such objects may be included in Questions 12 and 13 for coverage while in transit (starting with condition reports) and/or while on exhibition at other participating venues. Objects owned by the applicant or participating venues to be exhibited only at that venue should be included in Question 14.

(e) Lender Value: Lender's value, converted to U.S. dollars, for each object.

NOTE: All values submitted will be considered. Value in excess of amounts approved for indemnity must be insured by the indemnitee or other party. Generally, for objects with lender values greater than \$100 million, the Federal Council will limit indemnity coverage to no more than \$100 million per object.

Provide U.S. dollar value rounded to the nearest full dollar. Convert foreign currency values into U.S. dollars; indemnity claims are paid only in U.S. dollars. Reasonable currency fluctuations anticipated to occur during the indemnity period should be reflected and justified in the valuations.

Enter the lender value. Set Excel "decimal places" to 0, to avoid including cents. Then enter the total of these lender values in the cell directly under the last lender value. For example, if the list ends at 12.34, enter the total value requested in the cell **under** the Lender Value for 12.34. In the cell directly to the left of this total, type the phrase "**TOTAL REQUESTED INDEMNITY FOR QUESTION 12**". This phrase, **and the total value**, should be in **BOLD**.

NOTE: The list of objects and values is included in the Certificate of Indemnity and will be the basis to determine the amount of a certified claim payment. All final lender values must be provided before an approved Certificate of Indemnity will be issued.

(f) Source of Lender Value: If value is provided by the lender, write "Lender" (do not include a person's name); or, if lender value is unconfirmed at the time of the application, write name and title (e.g. John Doe, curator) of the individual estimating a temporary value to be considered for the application until the lender is confirmed. Values may be updated for consideration prior to the meeting of the Advisory Panel; increased values will not be considered after the meeting of the Advisory Panel.

(g) 3rd Party Opinion Value: Third Party opinion value rounded to the nearest full U.S. dollar (see Question 11). Follow the instructions in (e) Lender Value, above.

(h) Source of 3rd Party Opinion Value: Name of the Third Party providing the opinion.

13. Attachment 3: Identification and U.S. Dollar Value of United States-Owned Objects Requested for Indemnity

NOTE: Country of ownership is determined by the residence of the legal lender and not by the location of the object.

Using the instructions for Question 12, provide a separate numbered list, starting with 13.1, of all U.S.-owned objects for which indemnity is requested.

14. Attachment 4: Identification of Objects Not Requested for Indemnity

This information is necessary for the Federal Council to determine the educational, cultural, historical, or scientific value of the exhibition as a whole.

Using the instructions for Question 12, provide a separate list, starting with 14.1, of all objects to be included in the exhibition for which indemnity is **not requested**. Complete columns A-F only. For (d) Lender: if a private lender does not wish to be identified, indicate "private lender." For (e) Lender Value: applicants are strongly encouraged to provide U.S. dollar values for objects. This information is reviewed to assess values given in Questions 12 and 13. Applicants who do not furnish U.S. dollar values for objects in Question 14 may be requested to do so. Logistical arrangements and visual materials are not required for objects not requested for indemnity.

Submit Your Electronic Written Application

1. Check the size of your electronic application. The total size should not exceed 10 MB.
2. To begin the submission process, click the "**Save & Submit**" button. This button will not become active (and turn from light to dark gray) until you have saved your application with all required fields completed. Clicking this button will prompt you to save your application package one last time. When asked if you want to replace the existing file, click "Yes." You will then be reconnected to Grants.gov and the Internet. **You will be prompted to provide your Grants.gov Username and Password that you obtained during registration.** (REMINDER: You must have successfully completed the registration process in order to receive your Grants.gov Username and Password.)
3. Click the "**Login**" button. This will bring you to the "Application Submission Verification and Signature" screen, which provides a summary of the Funding Opportunity for which you are applying. Click the "Sign and Submit Application"

button to complete the process. **Be sure you are satisfied with your application before you click this button. No revisions are possible through Grants.gov once it is submitted.**

If you have difficulty submitting, go to [Adobe Reader Error Messages](#) or [Applicant Resources](#) for several tools and documents to help you.

4. Ensure that your application was validated and accepted by the Grants.gov system. Go to [Track My Application](#) to track the validation and progress of your application submission through Grants.gov.

You cannot make revisions to your application through Grants.gov once it is submitted. After the application is submitted, you must inform the Indemnity Administrator directly of changes.

Electronic Submission of Visual Documentation

In addition to the material that you submit through Grants.gov you must submit the visual documentation as detailed below. Your application package will not be considered complete without it.

You will use the NEA GrantsOnline™ System (NEA-GO), which is administered for the National Endowment for the Arts by the Western States Arts Federation (WESTAF). Proceed as follows:

1. Complete the Grants.gov application process as detailed above.
2. On September 28, 2016 NEA will email you the login information (URL, User name, and Password) for accessing NEA-GO.
3. NEA-GO will open for you to submit visual documentation beginning on September 28, 2016.

You will have until 11:59 p.m., Eastern Time, on September 30, 2016, to upload, preview/check, and submit your visual documentation electronically.

You will access NEA-GO for detailed instructions and to upload your visual documentation.

The NEA-GO system is optimized for use with the following web browsers: Mozilla Firefox, Safari, and Google Chrome. Internet Explorer will also work, but you must have at least version IE 8.

NEA staff will be available to address questions you may have.

Visual Documentation Preparation

NOTE: Prepare your visual documentation well in advance of the deadline and be ready to upload once NEA-GO becomes available to you.

Acceptable Format

Upload images in the format described below. There is a limit of 250 MB for all of your visual documentation combined.

Digital images

Submit images (see next) in a two separate PDF files: one PDF for the objects requested for indemnity, and one PDF for the highlight images. Image size should be consistent. To avoid unnecessarily large files, each image/file has a size limit of 1 MB.

NOTE: object information provided for each image must match information provided with Lists 12 and 13; see instructions for **12 and 13. Attachments 2 and 3: Identification and U.S. Dollar Value of Objects Requested for Indemnity**, above):

Submit:

1. One image **per page** of **each** object requested for indemnity, and include:
 - Number matching the number used in the lists for Question 12/Attachment 2 and Question 13/Attachment 3
 - Artist
 - Title
 - Medium
 - Dimensions
 - **NOTE: DO NOT INCLUDE LENDER OR VALUE**

2. Twelve images, **one object per page**, to highlight the exhibition. Preference is that the images represent objects requested for indemnity. The applicant determines the order of the images, which should best present the exhibition by theme, or chronology, nature, significance, rarity, etc. The order of the images does not need to follow the numerical order used in the lists for Question 12/Attachment 2 and Question 13/Attachment 3.

Number the highlight images **1-12 (these are NOT the same numbers as in the Attachment 2 and 3 lists)**, and include on each page:

- Number matching the number used in the lists for Question 12/Attachment 2 and Question 13/Attachment 3
- Artist
- Title

- Medium
- Dimensions
- **NOTE: DO NOT INCLUDE LENDER OR VALUE**

Then, at the end of the PDF provide a list numbered 1-12, and for each number include:

- Number matching the number used in the list for Question 12/Attachment 2 and Question 13/Attachment 3
- Artist
- Title
- Medium
- Dimensions
- **NOTE: DO NOT INCLUDE LENDER OR VALUE**
- Up to three CONCISE sentences describing the object, such as its importance to the exhibition, or to the artist's oeuvre, etc.

Visual Documentation Information

When you upload the PDFs electronically, you will find a descriptive field into which you will have the option of entering information into a Title and Description box. Since you have already included detailed information with each image in the PDFs, each descriptive field for the file should provide a brief overview of the group of images as a whole.

NOTE: Do not use an apostrophe in the Title box (if you do, your item will not upload).

*******REPORTING BURDEN*******

The public reporting burden for the collection of information is estimated to average 45 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The Federal Council on the Arts and the Humanities welcomes suggestions on improving the instructions and ease of use. Send comments regarding this burden estimate or other aspects of this collection of information to Patricia Loiko at the address above. Applicants are not required to respond to the collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number.