

# Art Works: Opera FY19 Grant Application Form Instructions

## How to Use This Document

These instructions are for the Opera discipline. For more information, see [here](#). If you are applying in a different Art Works discipline, [please refer to their instructions](#), as instructions vary by discipline. Applications are reviewed on the basis of artistic excellence and artistic merit. For more detailed information on how artistic excellence and artistic merit will be evaluated, see the "[Review Criteria](#)." Make sure you demonstrate how your project meets the review criteria in your application material.

**NOTE:** You will only be able to complete this part of the application process if you have submitted an SF-424 form through Grants.gov by the appropriate deadline. Please see <https://www.arts.gov/grants-organizations/art-works/opera/part-1-submit-sf-424-to-grants.gov> for more information.

This document will guide you through completing [Part 2 of the Art Works application process](#); it contains detailed instructions for completing the Grant Application Form (GAF) in the NEA's Applicant Portal. The Applicant Portal is a tabbed system (seven tabs), some of which have sub-tabs. These instructions are designed to help you navigate the tabs and ensure that you complete each section of the proposal. Please review all sections of this document to see the required fields needed to complete the application, along with detailed instructions on what you should include in each section. This document includes any specifications about your material (e.g., character limits for narrative responses, acceptable work sample formats). For your application to be considered complete, every required item **MUST** be included in your application. Required items are denoted with a red \* in the GAF.

**You will not have access to enter information into the online Grant Application Form until the NEA's Applicant Portal is available.** However, you should **prepare your responses and material well in advance of the Part 2 deadline** and have them fully ready to upload once the NEA's Applicant Portal opens.

### First Art Works Deadline:

Part 1 - Submit SF-424 to Grants.gov	February 15, 2018
Part 2 - Submit Materials to Applicant Portal	February 20, 2018 at 9:00 a.m., Eastern Time to February 27, 2018 at 11:59 p.m., Eastern Time

### Second Art Works Deadline:

Part 1 - Submit SF-424 to Grants.gov	July 12, 2018
Part 2 - Submit Materials to Applicant Portal	July 17, 2018 at 9:00 a.m., Eastern Time to July 24, 2018 at 11:59 p.m., Eastern Time

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### Applicant Portal Tips:

- This site is best viewed in Chrome 58+, Firefox 54+, or Microsoft Internet Explorer Version 11+.
- Most problems can be solved by changing your browser. If that doesn't work, go to IE and do the following:
  - Press Alt key.
  - Select Tools from the menu bar.
  - Select Compatibility View Setting.
  - Type in 'arts.gov' and uncheck all check marks and close.
- You might need to enable both Cookies and JavaScript on your Internet Browser to successfully view this site.
- Be sure to first copy and paste any text into Notepad (if you're using a PC) or TextEdit (if you are using a Mac) before copying it into Applicant Portal text fields. This will strip away any HTML coding that may add additional characters to text.
- Make sure to hit "SAVE" and log off if you plan to leave the Applicant Portal with work in progress. Your session will deactivate after a period of time and you could lose content. You should only open the Applicant Portal in one browser at a time to enter information.

### Tab 1: View Application Data

This section is the first screen you will see when you open the GAF. It cannot be edited; it is autopopulated with information you entered on the SF-424 form that was submitted to Grants.gov. If you find any incorrect information on this page, please [contact](#) the staff.

### Tab 2: Organization Info

#### Subtab 1: Organization Information

You will be asked to provide the following information in this section:

**Legal Name** (should match SF-424)

**Popular Name** (if different)

**For this application, are you serving as the Parent of an Independent Component** (before choosing, [see the definition](#) of official Independent Component status: Y/N

**Mission of Your Organization** (500 Character Limit, including spaces)

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**Summary of the background/history of your organization** (2,000 Character Limit, including spaces)

### Subtab 2: Organization Budget

**Budget Form:** Complete this section using figures for the most recently completed fiscal year, the previous fiscal year, and the fiscal year prior to that.

Sample View:

	Most Recently Completed FY	Previous FY	Two FYs Prior
FY End Date (MM/DD/YYYY)	1/1/2017		
<b>INCOME:</b>			
Earned	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
Contributed	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
<b>Total Income</b>	0 <input style="width: 80%;" type="text"/>	0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
<b>EXPENSES:</b>			
Artistic Salaries	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
Production / Exhibition / Service Expenses	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
Administrative Expenses	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
<b>Total Expenses</b>	0 <input style="width: 80%;" type="text"/>	0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>
<b>OPERATING SURPLUS / DEFICIT (single year)</b>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>	\$0 <input style="width: 80%;" type="text"/>

When completing this form you'll use the line items below for each fiscal year. Unaudited figures are acceptable. And figures that amount to \$0 are acceptable in cases where organizations do not have a budget line for that particular figure.

#### **Income**

- **Earned:** Revenues that are received through the sale of goods, services performed, or from investments. Examples: ticket sales, subscription revenue, contractual fees, interest income.

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- **Contributed:** Gifts that are received which are available to support operations. Examples: annual fund donations, grants for general operating or project support, in-kind contributions.

### Expenses

- **Artistic Salaries:** Costs that are directly related to the creation, production, and presentation of art work. Examples: fees for dancers, choreographers, actors, curators, artistic directors, contributors to literary publications. Include arts personnel that are on your staff as well as those that are paid on a contract or fee basis.
- **Production/exhibition/service expenses:** All program or service delivery costs, excluding artistic salaries and fees that are listed above. Examples: research expenditures; presentation costs; costs of sets, costumes, and lighting; publication costs of catalogues or literary magazines.
- **Administrative expenses:** All other costs that are incurred during the normal course of business. Examples: outside professional non-artistic services, space rental, travel, marketing, administrative salaries, utilities, insurance, postage.

**NOTE:** The figures are subject to verification by the National Endowment for the Arts.

If you are a parent organization, provide this information for the independent component on whose behalf you are applying (e.g., for a university project, provide the information for the independent component, not the entire university). *Academic departments within universities and colleges are **not** considered independent components.* For independent components, you may use the space to discuss the fiscal health of your organization and to explain the relationship that the independent component has with the larger entity (e.g., "museum guards and utilities paid for by university"). If your organization is a smaller entity that exists within a larger organization (such as literary organization housed at a college or university), submit information for the smaller entity and follow the instructions for parent organizations and independent components.

**This is intended to show your organization's fiscal activity as it relates to operations.** Do not include activity related to a capital campaign (such as raising money for a new facility, an endowment fund, or a cash reserve fund). You will be given an opportunity to explain and discuss the fiscal health of your organization, including identifying the source of the activities, as needed. We may request additional information to clarify an organization's financial position.

**Fiscal Health:** Discuss the fiscal health of your organization. In addition, you must explain: 1) any changes of 15% or more in either your income or expenses from one year to the next, and 2) plans for reducing any deficit (include the factors that contributed to the deficit and its amount). (1,000 Character Limit, including spaces)

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## Tab 3: Arts Programmatic History

Submit a representative list of your programmatic history for the past three years/seasons prior to the application deadline (e.g., 2015 or 2015-16; 2016 or 2016-17; 2017 or 2017-18).

This list should demonstrate eligibility (i.e., your organization's three-year history of arts programming) and the artistic excellence and merit of your organization. For the purpose of defining eligibility, "three-year history" refers to when an organization began its programming and not when it incorporated or received nonprofit, tax-exempt status. Where available, include arts or cultural programming that has a relationship to the project for which you are requesting support (e.g., show examples of previous festival programming if your project is for a festival). For projects that involve touring, list your organization's touring activities for the past three years/seasons.

You'll enter your information this way:

The screenshot shows the 'Year 1' input field, which is currently empty. Below it is the 'Representative Examples Year 1' section, which includes a rich text editor with a toolbar containing icons for bold, italic, underline, bulleted list, numbered list, link, and unlink. The text area below the toolbar is empty. At the bottom of the section, a character count reads: '(3,500 Character Limit, including spaces. Remaining: 3500 characters.)'

The screenshot shows the 'Year 2' input field, which is currently empty. Below it is the 'Representative Examples Year 2' section, which includes a rich text editor with a toolbar containing icons for bold, italic, underline, bulleted list, numbered list, link, and unlink. The text area below the toolbar is empty. At the bottom of the section, a character count reads: '(3,500 Character Limit, including spaces. Remaining: 3500 characters.)'

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The screenshot shows a web form for 'Year 3'. At the top, there is a label 'Year 3:' followed by a small empty text box. Below this is the heading 'Representative Examples Year 3'. Underneath is a large text area with a rich text editor toolbar containing icons for Bold (B), Italic (I), Underline (U), Bulleted List, Numbered List, Indent, Outdent, Link, and Unlink. At the bottom of the text area, a character count reads: '(3,500 Character Limit, including spaces. Remaining: 3500 characters.)'

**Arts Programmatic History Notes (optional):** Provide any notes about your programmatic history. (1,000 Character Limit, including spaces)

### Tab 4: Project Info

#### Subtab 1: Project Activity

**NEA Discipline for Proposed Project:** This refers to the artistic discipline associated with your project, not necessarily your organization as a whole. Choose the artistic discipline carefully because your selection will determine which panel of experts will review your proposal. In limited cases, staff may transfer an application to a discipline other than the one that was selected by the applicant to ensure appropriate panel review. However, we cannot guarantee that an application will be transferred in all cases where this might be desirable. [Contact us](#) if you have any questions about which discipline is most appropriate for your project. Each discipline has different instructions. Be sure to download the instructions according to the discipline that best suits your project.

Choose: **Opera (including opera presentation)**

**Project Title:** If your project title has changed since you submitted your SF-424 through Grants.gov, provide a new project title in the text field below. If you have no changes, leave the text as is. **Do not type "N/A" as this will replace the previously submitted project title.**

**Project Description:** If your project description has changed since you submitted your SF-424 through Grants.gov, provide a new description in the text field below. If you have no changes, leave the text as is. **Do not type "N/A" as this will replace the previously submitted project description.**

**Major Project Activities:** This is the project narrative. Describe the proposed project. Be as thorough and specific as possible about the activities that will take place during the allowable project period. Where relevant, include information on any additional public components such as educational or outreach activities of the project. Do not describe unrelated organizational

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programming. If applicable, identify any works of art that will be central to the project and the reason for their selection. (4,000 Character Limit, including spaces)

### **Subtab 2: Project Partners**

**Selection of Key Organizational Partners:** If your project involves organizational partners, describe the process and criteria for the selection of key organizations. Where relevant, describe their involvement in the development of the project to date. Where they remain to be selected, describe the selection procedures that you plan to follow and the qualifications that you seek. An organizational partner is an outside entity that will provide resources (other than money) to support the project. Because all NEA projects require matching resources from non-federal sources, organizations that only provide money are not considered partners. Funders are not excluded from being partners, but they must also supply human resources or information capital, or actively participate in another way. Organizational partners are not required. (1,000 Character Limit, including spaces)

**Description of Key Organizational Partners:** Include brief, current descriptions of the key organizational partners and their proposed role in the project. You may include up to 10.

- **Organization Name**
- **Proposed or committed?** Select answer from drop-down.
- **Description of the Organization** (500 Character Limit each, including spaces)

### **Subtab 3: Key Individuals**

**Selection of Key Individuals:** Briefly describe the process and criteria for the selection of key individuals who will be involved in this project (e.g. primary artist(s), project director, artistic director, executive director, teaching artist, curator, editor, folklorist, conductor). Where relevant, name the key individuals and describe their involvement in the development of the project to date. Where they remain to be selected, describe the procedures that you plan to follow and the qualifications that you seek. (1,000 Character Limit, including spaces)

**Bios of Key Individuals:** Include brief, current biographies of the key individuals. You may include up to 5.

- **First Name**
- **Last Name** (Use this field for artistic group names or single names)
- **Proposed or committed?** Select answer from drop-down.
- **Bio.** including the proposed role in the project (1,000 Character Limit, including spaces)

**Schedule of Key Project Dates:** Describe the significant dates in the project. If you include activities that occur before the earliest allowable start date (January 1, 2019, if you apply at the February 15, 2018, deadline or June 1, 2019, if you apply at the July 12, 2018, deadline),

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indicate by adding an asterisk (\*) and make sure that those activities and costs are not included on the Project Budget form. (1,500 Character Limit, including spaces)

**Promotion & Publicity:** Briefly describe your plans for promoting and/or publicizing the project. (1,000 Character Limit, including spaces)

**Performance Measurement:** How will you measure the success of your project? Describe any plans you have for program evaluation, for working collaboratively with researchers, strategic consultants, program evaluators, and/or any other plans for performance measurement related to the project. (1,000 Character Limit, including spaces)

Please see "[Program Evaluation Resources](#)" for additional information.

**Intended Audience/Participants/Community:** Who will benefit from the project and how? (2,000 Character Limit, including spaces)

**Discipline-Specific Items:** Submit any items specified for your discipline that are required and relevant to your particular project. If necessary, combine items in a single field and label the items clearly. (3 text fields at 6,000 Character Limit each, including spaces)

- **For all projects, an Artistic Statement.** This statement must be written by the Artistic Director or other person who has responsibility for the project. It should discuss the reasons for the project's selection and its relationship to the artistic vision of the organization. (3,000 Character Limit, including spaces)
- **For projects that involve the creation, development, or premiere of a new opera:**
  - A statement written by the composer. (3,000 Character Limit, including spaces)
  - A statement written by the librettist. (3,000 Character Limit, including spaces)
  - Each statement should outline the creator's vision for the new work.
- **For recording projects:**
  - A distribution plan. (3,000 Character Limit, including spaces)
- **For professional development training of artists projects:**
  - A representative list of the names and current professional affiliations of participants from the past two years. (6,000 Character Limit, including spaces)
  - A curriculum plan that outlines both the training activities and the performance opportunities offered. This information should outline the training such as vocal coaching, diction, language, acting, movement, and theatrical training. (6,000 Character Limit, including spaces)
- **For educational and outreach programs:**
  - A plan that outlines both the training activities and the performance opportunities offered. (3,000 Character Limit, including spaces)



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### Tab 5: Project Budget

**NOTE:** Organizations cannot receive more than one National Endowment for the Arts grant for the same expenses. This budget cannot include project costs that are supported by any other federal funds or their match, including costs that may be included in applications submitted, or grants received, by partner organizations or presenters.

Your **Project Budget** should reflect only those activities and associated costs that will be incurred during the "Period of Performance" that you have indicated for your project. Any costs incurred before or after those dates will be removed. REMINDER: The earliest allowable project start date is January 1, 2019, if you apply at the February 15 deadline, or June 1, 2019, if you apply at the July 12 deadline.

All items in your budget, whether supported by NEA funds or your cost share/matching funds, must be reasonable, necessary to accomplish project objectives, allowable in terms of the [NEA General Terms and Conditions](#), and adequately documented.

Applicants whose projects are recommended for grants will be asked to update the project budget.

**Amount Requested from the NEA:** Grants will generally range from \$10,000 to \$100,000. Do not request an amount below \$10,000. **Any requests below \$10,000 will be deemed ineligible and will not be reviewed.** Be realistic in your request.

#### **Subtab 1: Project Expenses**

**DIRECT COSTS** are those that are identified specifically with the project during the period of performance, and are allowable. Be as specific as possible. Review [What We Fund/We Do Not Fund](#) to ensure your Project Budget does not include unallowable costs. Projects with less than \$20,000 in costs (i.e., not showing the minimum NEA requested amount of \$10,000 and the required organization 1:1 match of \$10,000) will be deemed ineligible and will not be reviewed.

**DIRECT COSTS: Salaries and wages** cover compensation for personnel, administrative and artistic, who are paid on a salary basis. (Funds for contractual personnel and compensation for artists who are paid on a fee basis should be included in "DIRECT COSTS: Other" on the Project Budget form, and not here.) Indicate the title and/or type of personnel (40 characters maximum), the number of personnel (30 characters maximum), the annual or average salary range (40 characters maximum), and the percentage of time that will be devoted to the project annually (30 characters maximum). List key staff positions, and combine similar functions. Where appropriate, use ranges. If the costs for evaluation and assessment are part of staff salary and/or time, separately identify those costs.

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Salaries and wages for performers and related or supporting personnel must be estimated at rates no less than the prevailing minimum compensation as required by the Department of Labor Regulations. (See "**Legal Requirements**" for details.)

NOTE: Salaries/wages/fringe benefits incurred in connection with fundraising specifically for the project are allowed. These costs must be incurred during the NEA project period of performance, and be approved as allowable project expenses by the agency.

Sample View:

Project Expenses		Project Income		
<b>DIRECT COSTS: SALARIES &amp; WAGES</b>				
Title and/or Type of Personnel	Number of personnel	Annual or Average Salary Range	% of time devoted to this project	Amount (You must enter Zero "0" to clear Dollar fields)
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Total Salaries and Wages</b>				\$0

**Fringe benefits** are those costs other than wages or salary that are attributable to an employee, as in the form of pension, insurance, vacation and sick leave, etc. They may be included here only if they are not included as indirect costs.

Sample View:

<b>FRINGE BENEFITS</b>	<input type="text" value="\$0"/>
<b>Total Salaries and Fringe Benefits</b>	<input type="text" value="\$0"/>

**DIRECT COSTS: Travel** must be estimated according to the applicant's established travel practice, providing that the travel cost is reasonable and does not exceed the cost of air coach accommodations. Limit your descriptions to these character maximums: # of Travelers = 20 characters; From = 50 characters; To = 50 characters. Include subsistence costs (e.g., hotels, meals) as part of the "Amount" listed for each trip, as appropriate. Foreign travel, if any is intended, must be specified by country of origin or destination and relate to activity outlined in

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your narrative. Foreign travel also must conform with government regulations, including those of the [U.S. Treasury Department Office of Foreign Asset Control](#). If National Endowment for the Arts funds are used for foreign travel, such travel must be booked on a U.S. air-carrier when this service is available. List all trips -- both domestic and foreign -- individually.

Sample View:

DIRECT COSTS: TRAVEL			
# of travelers	From	To	Amount (You must enter Zero "0" to clear Dollar fields)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Total Travel Expenses</b>			<input type="text" value="\$0"/>

**DIRECT COSTS: Other** include consultant and artist fees, contractual services, promotion, acquisition fees, rights, evaluation and assessment fees, access accommodations (e.g., audio description, sign-language interpretation, closed or open captioning, large-print brochures/labeling), telephone, photocopying, postage, supplies and materials, publication, distribution, translation, transportation of items other than personnel, rental of space or equipment, and other project-specific costs. List artist compensation here if artists are paid on a fee basis. For procurement requirements related to contracts and consultants, please review [2 CFR Part 200.317-.326](#). Limit your descriptions to 100 characters maximum.

Television broadcast projects and educational/interpretive videos must be closed or open captioned. Applicants should check with captioning organizations for an estimate.

Clearly identify the rental of equipment versus the purchase of equipment. If you intend to purchase any equipment that costs \$5,000 or more per item and that has an estimated useful life of more than one year, you must identify that item here. Provide a justification for this expenditure either in this section of the Project Budget form or in your narrative. Digital computers, mobile devices, or other new technologies are considered supplies if less than \$5,000 per item regardless of the length of useful life.

If you engage in contracts of more than \$15,000, identify the item or service and its relation to the project.

Group similar items together on a single line, with only one total cost. List consultant and artist fees or contracts for professional services on consecutive lines; do not scatter them throughout

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the list. Specify the number of persons, the service being provided, and the applicable fee, rate, or amount of each. For other types of line items, provide details of what is included in each item.

Sample View:

DIRECT COSTS: OTHER			
Description	Amount (You must enter Zero "0" to clear Dollar fields)	Description	Amount (You must enter Zero "0" to clear Dollar fields)
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>	<input style="width: 95%;" type="text"/>	<input style="width: 80%;" type="text" value="\$0"/>
<b>Total Other Expenses</b>			<input style="width: 80%;" type="text" value="\$ \$0"/>
<b>TOTAL DIRECT COSTS</b>		<input style="width: 80%;" type="text" value="\$0"/>	

**TOTAL DIRECT COSTS** is the total of all direct cost items listed in "Salaries and wages," "Travel," and "Other expenses." This field will autocalculate.

**INDIRECT COSTS** are overhead or administrative expenses that are not readily identifiable with a specific project. (The costs of operating and maintaining facilities and equipment, depreciation or use allowances, and administrative salaries and supplies are typical examples of indirect costs.) Indirect costs are prorated or charged to a project through a rate negotiated with the National Endowment for the Arts or another federal agency (limit your description of Federal Agency to 50 characters). You are not required to have an indirect cost/facilities and administration rate to apply for or receive a grant. You may claim administrative costs or overhead as direct costs under "DIRECT COSTS: Other." Alternatively, a non-federal entity that has never received a negotiated indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC). For more information see [2 CFR Part 200.414.f](#). However, you cannot claim both Indirect Costs and Administrative/overhead costs; you must choose one method or the other. If you have a negotiated rate and would like to include indirect costs, complete the information requested in this section. If you do not have or intend to negotiate an indirect cost rate, or do not plan to charge a de minimis 10% rate leave this section blank. Applicants may only apply a Research ICR for applications to the Research: Artworks program. For additional information, see "[Indirect Cost Guide for NEA Grantees](#)."

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Sample View:

INDIRECT COSTS (if applicable)	
Federal Agency	<input type="text"/>
Rate Agency(.0000)	<input type="text" value="0.10000"/>
Base	<input type="text" value="\$10,000"/>
TOTAL INDIRECT COSTS	<input type="text"/>

**TOTAL PROJECT COSTS/EXPENSES** is the total of "Total direct costs," and, if applicable, "Indirect costs." This field will autocalculate. **NOTE:** "Total project income" must equal the "Total project costs/expenses." Your project budget should not equal your organization's entire operating budget.

### Subtab 2: Project Income

**AMOUNT REQUESTED FROM THE NEA:** Grants will generally range from \$10,000 to \$100,000. Do not request an amount below \$10,000. Any requests below \$10,000 will be deemed ineligible and will not be reviewed. Be realistic in your request.

Sample View:

Amount Requested from the NEA	<input type="text" value="\$0"/>
Project Expenses	<a href="#">Project Income</a>

**TOTAL COST SHARE/MATCH FOR THIS PROJECT:** Because the National Endowment for the Arts cannot support more than 50% of a project's costs, we require each applicant to obtain at least half the total cost of each project from nonfederal sources. For example, if you receive a \$10,000 grant, your total project costs must be at least \$20,000 and you must provide at least \$10,000 toward the project from nonfederal sources. These matching funds may be all cash or a combination of cash and in-kind contributions as detailed below. Be as specific as possible. For projects that include additional partners, indicate on the form the portion of the match that is being contributed by each participant. Asterisk (\*) those funds that are committed or secured.

**Applicant CASH** match refers to the cash donations (including items or services that are provided by the applicant organization), grants, and revenues that are expected or received for this project. Limit your descriptions to 100 characters maximum. Do not include any National Endowment for the Arts or other federal grants -- including grants from the National Endowment for the Humanities, U.S. Department of Education, the National Park Service, etc. -- that are anticipated or received. If you include grants from your state arts agency or regional arts organization as part of your match, you must ensure that the funds do not include

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subgranted federal funds. Identify sources. The National Endowment for the Arts allows the use of unrecovered indirect costs as part of the cash match or cost sharing. Your organization must have a federal negotiated indirect cost rate agreement to include unrecovered indirect costs.

**Third-Party IN-KIND Contributions: Donated space, supplies, volunteer services** are goods and services that are donated by individuals or organizations other than the applicant (third-party). Limit your descriptions to 100 characters maximum. To qualify as matching resources, these same items also must be identified in the project budget as direct costs to ensure their allowability. In-kind items not reflected as direct costs will be removed from your budget. The dollar value of these non-cash donations should be calculated at their verifiable fair-market value. Identify sources. Reminder: Proper documentation must be maintained for all items noted as "in-kind."

Sample View:

Project Expenses		Project Income	
<b>Applicant CASH</b>		<b>Third-Party IN-KIND Contributions</b>	
<b>Description</b>	<b>Amount (You must enter Zero "0" to clear Dollar fields)</b>	<b>Description</b>	<b>Amount (You must enter Zero "0" to clear Dollar fields)</b>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<b>Total in-kind</b>	<input type="text" value="\$0"/>
<b>Total Cash</b>	<input type="text" value="\$0"/>		

**TOTAL PROJECT INCOME** is the total of "Amount requested from the National Endowment for the Arts" and "Total cost share/match for this project." This field will autocalculate. **NOTE:** "Total project income" must equal the "Total project costs/expenses." Your project budget should not equal your organization's entire operating budget.

Note: The amounts below will automatically populate from what you have entered in the budget tables.

## Art Works: Opera FY19 Grant Application Form Instructions

+ Instructions for Project Expenses/Income Summary

Total Cost Share/Match for this project (Total CASH + Total IN-KIND)	\$0
TOTAL PROJECT INCOME (Total Cost Share / Match + Amount Requested from NEA)	\$0
TOTAL PROJECT COSTS/EXPENSES (Total Direct Costs + Total Indirect Costs)	\$0

### Tab 6: Items to Upload

Upload your work samples here. Opera does not accept statements of support.

Please arrange the items in the following order when uploading:

- Video Work Samples
- Audio Work Samples
- Image Work Samples
- Other Types of Work Samples (e.g., score, libretto)

#### Preparation

Below are the required items to upload. These are a critical part of your application and are considered carefully during application review. Submit items in the format and within the space limitations described below. Only upload materials that we request. Other items that you include will not be reviewed.

#### Work Samples:

**Work samples are required for Opera every application.** Work samples should relate as directly as possible to your proposed project and should demonstrate the artistic excellence and artistic merit of your project. For example, if you are proposing to create a work, submit an example of work by the proposed artist. If your project is to complete a work, submit a sample of the work in progress. For collaborations, submit samples that feature the various artists and/or organizations involved. For educational and outreach activities, your sample(s) should demonstrate both the skills of the artists who will be working with the participants as well as the artists/teachers working with students or others.

Please be selective in what you submit; panel time is limited, and too many work samples can be counterproductive. We highly recommend limiting your selections to a few substantive, relevant work samples.

## Art Works: Opera FY19 Grant Application Form Instructions

**All performing and presenting organizations must submit at least two and as many as three video samples of contrasting operatic works (performed live within the past two years) that demonstrate a range of styles, and are relevant to the project for which you are requesting support. Select and identify specific arias, duets, ensembles, etc.**

Of these samples, you must include at least one ensemble selection, e.g., chorus, quartet, quintet.

Of these samples, you must include at least one example of your organization's mainstage work, even if you are not requesting support for a mainstage production.

For projects that involve commissions, premieres, or recordings, you may submit more than three video samples.

Work samples should demonstrate the artistic quality of your organization and should relate as directly as possible to your proposed project. Therefore:

- **If you are applying to create a new work**, submit examples (video/audio) of recent work by both the composer and the librettist (e.g., scores, librettos, and recordings). Samples of creative artists' work are critical components of your application and will be considered carefully during the review process. This may include recordings from another organization that has already recorded the work (e.g., from a workshop production).
- **If you are applying to create a new work**, submit a sample score and libretto for the project. This can be the complete score and complete libretto.
- **If you are applying to complete a work**, submit a sample (video/audio) of the work in progress.
- **If you are applying to mount a new production**, submit examples of recent work by the artistic team (e.g., images or artists' renderings of sets, costumes).
- **If you are applying for a collaborative project**, submit samples that feature the various artists and/or organizations involved.
- **If you are applying for a recording project**, include samples of the work(s) to be recorded or, if not available, representative work(s) by the proposed composer(s) or artist(s).
- **For professional development and training of artists**, submit samples that demonstrate the training activities and performance opportunities provided to young artists.
- **For educational and outreach activities**, submit video/audio samples that demonstrate the skills of the artists and teachers working with students and other participants. In addition, you should submit sample curriculum material such as a teacher's guide. Label this "Teacher Guide" and limit it to 10 pages.
- **Service organizations** should submit brief selections from publications or other documents that demonstrate their ability to carry out the proposed project.



## Art Works: Opera FY19 Grant Application Form Instructions

We will accept the following:

Type	Video Required	Audio	Images	Documents*	Websites
<b>Max. #</b>	3 selections	3 selections	12 images	6 PDF documents	3 websites
<b>Max. Time Each Sample</b>  Panelists will review up to 20 minutes of samples	10 minutes each	7 minutes each			
<b>Max. Size Each Sample</b>  250 MB for all work samples combined	250 MB	20 MB	5 MB	40 MB  12 pages each except for an opera score or libretto	5 MB
<b>File Types</b>	avi, mov, mp4, mpeg, and wmv; or PDF with links	mp3, wma, wav, aac, and mpa; or PDF with links	jpeg, gif, bmp, png, and tif; for multiple images, include as a single PDF	PDF	PDF with links

\*Note: Any of the following compromise “documents” and could be submitted in an Opera application: Score, Libretto, Teacher’s Guide, Review, Publication, Periodical.

### **Information About Uploaded Items**

Your file names must not:

- Exceed 100 characters.
- Begin with a space, period, hyphen, or underline.
- Contain these characters: #%&{\}<>\*?/\$!'"':+=|"@.

For each item that you upload, you will find a descriptive field into which you will enter the following information, as appropriate.

## Art Works: Opera FY19 Grant Application Form Instructions

Title box: Title each item with a unique name.

- For video/audio/digital image/website/document work samples, state the composer name and title of the operatic work represented by the work sample (and if different than the applicant organization, the name of the performing artist/ensemble represented by the work sample).

Description box:

For video/audio/digital image/website/document work samples:

- Name of organization (only if different from applicant).
- Title of work.
- Title of selection.
- Composer/librettist.
- Singer(s), conductor, director, set designer, costume designer, lighting designer (artistic team) represented in the work sample.
- Date the work was performed.
- Relationship of the work to the project for which you are requesting support.
- For audio and video samples, length of the sample.
- For audio and video samples, viewing/listening cue for each sample, if necessary.

Applicants submitting multiple websites or multiple images on a PDF should include the relevant information from above with each website or image in the PDF. The descriptive field for the file should provide a brief overview of the group of images or websites as a whole.

### Additional Guidance for Video Samples

Video samples are **required** for performing and presenting organizations. The performance and the recording should both be of the highest quality. Please submit continuous production footage rather than trailers or montages set to music. Do not send interviews, spliced segments of productions, photo montages set to music, or promotional/marketing material.

You may upload a video sample directly, or you may submit a PDF with a clickable web link to a video sample. Uploading is strongly recommended. Upload each sample individually, in a separate file.

### *Uploading video samples:*

In order to be uploaded, videos must meet the following minimum requirements:

- Resolution: At least 480 x 360
- Frames per second (fps): At least 12

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You can often check the resolution of a video as follows. In Windows environments, find the file on your computer, right click it, and select "Properties." On a Mac, hold the Control key, and click on the file name. Select "Get Info" and the information should display. Most videos are a standard 29 frames per second.

While the above requirements are the minimum, submitting your video in the mp4 (H.264) format at 640 x 480 with mp3 audio will allow for efficient uploading while showing your clips to best advantage.

If your video doesn't meet the minimum resolution requirements above, there are a number of software programs you can use to convert your video.

*If you submit a link:*

Submit a PDF with a link to the website. If you are including more than one website, list them all on a single PDF. For each site, list the URLs for pages to be shown; include any necessary navigation paths and any information on required plug-ins. Do not submit websites that require passwords. Do not submit links to sites that require work samples to be downloaded (e.g., Dropbox).

### Additional Guidance for Audio Samples

Submit up to three audio samples. For projects that involve commissioning or recordings, you may submit additional samples. You will upload each selection in a separate file. Unless relevant to your proposed project, do not send podcasts, interviews, or promotional tracks.

You may upload an audio sample, or you may submit a link to an audio sample.

### Uploading audio samples:

Submit audio tracks at a constant bit rate no lower than 192 kbps (higher if possible). Do not upload audio files that have a variable bit rate.

If you submit a link:

Submit a PDF with a link to the website(s). If you are including more than one website, list them all on a single PDF. For each site, list the URLs for pages to be shown; include any necessary information on required plug-ins, passwords, or navigation paths.

Do not submit links to sites that require work samples to be downloaded (e.g., Dropbox).

### Additional Guidance for Digital Images

## Art Works: Opera FY19 Grant Application Form Instructions

Include still images only as needed. **Combine all of your images into a single PDF file.** Image size should be consistent; 800 x 600 pixels is suggested. Do not submit PowerPoint or Word documents.

Additional Guidance for Documents (Scores, Librettos, Teacher Guides, Reviews, Publications, Periodicals)

**Each PDF should not exceed 12 pages (with the exception of scores and libretti which may be submitted in full). Excess pages will be removed and will not be reviewed. Do not submit resumes or board lists.**

If your project is to create a new opera, include the score and libretto. If your project is education, include a teacher's guide. If your project is for services to the field, include publications as relevant.

Page limits for each type of file are specified below. **Leave a margin of at least one inch at the top, bottom, and sides of all pages. Use only 8.5 x 11 inch size pages. Do not reduce type below 12 point font size.** Within each PDF, number pages sequentially; place numbers on the bottom right hand corner of each page. **Excess pages will be removed and will not be reviewed.**

If you have to scan material, please follow the guidance below:

- Scan images at a resolution of 300 dpi. Resolutions over 300 dpi will result in unnecessarily large files.
- Experiment with the JPEG quality settings. Saving the document as a "medium quality" or "low quality" JPEG will reduce the file size, and is not likely to reduce readability.
- When you have scanned the images, combine them into a single PDF file. **Do not submit a separate file for each scan.** Do not submit Word, PowerPoint, or Excel documents.

Additional Guidance for Websites

- Do not submit your organization's general website. Only submit a website that is an essential part of the project.
- If you are including more than one website, list them all on a single PDF. For each site, list the URLs for pages to be shown; **include any navigation paths and any necessary information on required plug-ins.** Do not submit websites that require passwords. Do not submit links to sites that require work samples to be downloaded (e.g., Dropbox).
- NOTE: If you provide links to works samples -- audio samples, digital images, video samples, or documents – the same limits on uploaded work samples apply to those provided via links.

## Art Works: Opera FY19 Grant Application Form Instructions

### Project Updates

If new information that significantly affects your application (including changes in artists or confirmed funding commitments) becomes available after your application is submitted, please send that information immediately to the Opera specialist. Include your organization's name and application number on any such submission.

### Tab 7: Organization & Project Info

The National Endowment for the Arts collects basic descriptive information about all applicants and their projects. The information that follows will help the NEA to comply with government reporting requirements, and will be used to develop statistical information about the organizations and projects it funds to report to Congress and the public. **Your responses will not be a factor in the review of your application.**

**Applicant Organization Discipline:** Select the primary discipline that is most relevant to your organization. This refers to the primary artistic emphasis of your organization. You will **choose one** from the following:

- Artist Community
- Arts Education Organization
- Dance
- Design
- Folk & Traditional
- Literature
- Local Arts Agency
- Media Arts
- Museums
- Music
- Musical Theater
- Opera
- Presenting & Multidisciplinary Work Organization
- Theater
- Visual Arts
- None of the Above

You will also have the option of selecting two additional disciplines for your organization, though this is not required.

**Applicant Organization Description:** This section asks for the description that most accurately describes your organization. You will **choose one** from the following:

## Art Works: Opera FY19 Grant Application Form Instructions

- Artists' Community, Arts Institute, or Camp
- Arts Center
- Arts Council / Agency
- Arts Service Organization
- College / University
- Community Service Organization
- Fair / Festival
- Foundation
- Gallery / Exhibition Space
- Government
- Historical Society / Commission
- Humanities Council / Agency
- Independent Press
- Library
- Literary Magazine
- Media-Film
- Media-Internet
- Media-Radio
- Media-Television
- Museum-Art
- Museum-Other
- Performance Facility
- Performing Group
- Presenter / Cultural Series Organization
- Religious Organization
- School District
- School of the Arts
- Social Service Organization
- Union / Professional Association
- None of the Above

You will also have the option of selecting two additional descriptions for your organization, though this is not required.

**Project Activity Type:** Select the option that best describes the type of project you are proposing:

- **Apprenticeship**
- **Arts Instruction** Includes lessons, classes and other means to teach knowledge of and/or skills in the arts
- **Artwork Creation** Includes media arts, design projects, and commissions
- **Audience Services** (e.g., ticket subsidies)
- **Broadcasting** via TV, cable, radio, the Web, or other digital networks

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- **Building Public Awareness** Activities designed to increase public understanding of the arts or to build public support for the arts
- **Building International Understanding** Includes activities that either bring international art and/or artists to the U.S. or bring American art and/or artists to other nations
- **Concert/Performance/Reading** Includes production development
- **Curriculum Development/Implementation** Includes design, implementation, distribution of instructional materials, methods, evaluation criteria, goals, objectives, etc.
- **Distribution of Art** (e.g., films, books, prints; do not include broadcasting)
- **Exhibition** Includes visual arts, media arts, design, and exhibition development
- **Fair/Festival**
- **Identification/Documentation** (e.g., for archival or educational purposes)
- **Marketing**
- **Presenting/Touring**
- **Professional Development/Training** Activities enhancing career advancement
- **Professional Support: Administrative** Includes consultant fees
- **Professional Support: Artistic** (e.g., artists' fees, payments for artistic services)
- **Publication** (e.g., books, journals, newsletters, manuals)
- **Recording/Filming/Taping** (e.g., to extend the audience for a performance through film/tape audio/video; do not include archival projects)
- **Repair/Restoration/Conservation**
- **Research/Planning** Includes program evaluation, strategic planning, and establishing partnerships
- **Residency - School** Artist activities in an educational setting
- **Residency - Other** Artist activities in a nonschool setting
- **Seminar/Conference**
- **Student Assessment** Includes measurement of student progress toward learning objectives. Not to be used for program evaluation.
- **Technical Assistance** with technical/administrative functions
- **Web Site/Internet Development** Includes the creation or expansion of Web sites, mobile and tablet applications, the development of digital art collections, interactive services delivered via the Internet, etc.
- **Writing About Art/Criticism**
- **None of the above**

**Additional Project Activity Type:** Optionally, choose up to two additional types from the above list.

### **Audience/Participants/Communities**

**Race/Ethnicity:** (Choose all that apply) U.S. federal government agencies must adhere to standards issued by the Office of Management and Budget (OMB) in October 1997, which specify that race and Hispanic origin (also known as ethnicity) are two separate and distinct concepts. These standards generally reflect a social definition of race and ethnicity recognized

## Art Works: Opera FY19 Grant Application Form Instructions

in this country, and they do not conform to any biological, anthropological, or genetic criteria. Origin can be viewed as the heritage, nationality group, lineage, or country of birth of the person or the person's ancestors before their arrival in the United States.

- American Indian or Alaskan Native - A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.
- Asian - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
- Black or African American - A person having origins in any of the Black racial groups of Africa.
- Hispanic or Latino - People who identify their origin as Hispanic, Latino, or Spanish may be of any race.
- Native Hawaiian or Other Pacific Islander - A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- White - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

### **Age Ranges:** (Choose all that apply)

- Children/Youth (0-18 years)
- Young Adults (19-24 years)
- Adults (25-64 years)
- Older Adults (65+ years)

### **Underserved/Distinct Groups:** (Choose all that apply)

- Individuals with Disabilities
- Individuals in Institutions (include people living in hospitals, hospices, nursing homes, assisted care facilities, correctional facilities, and homeless shelters)
- Individuals below the Poverty Line
- Individuals with Limited English Proficiency
- Military Veterans/Active Duty Personnel
- Title I Schools
- Youth at Risk
- Other underserved/distinct group

## **Submit Your Application**

**Be sure to click Save before clicking Submit.**

**You must click Submit to finalize your application for NEA review.** The Submit button is only visible when you are on the Organization and Project Info tab. If you do not hit the "Submit"



## **Art Works: Opera FY19 Grant Application Form Instructions**

button, your application will not be received.

You can confirm that your application was received when you log in to the Applicant Portal. On the screen it will say “Submitted” if your application has been received. If your application has not yet been received, it will say “In Progress.”

You can continue to edit your application after you hit Submit up until the Applicant Portal closes.